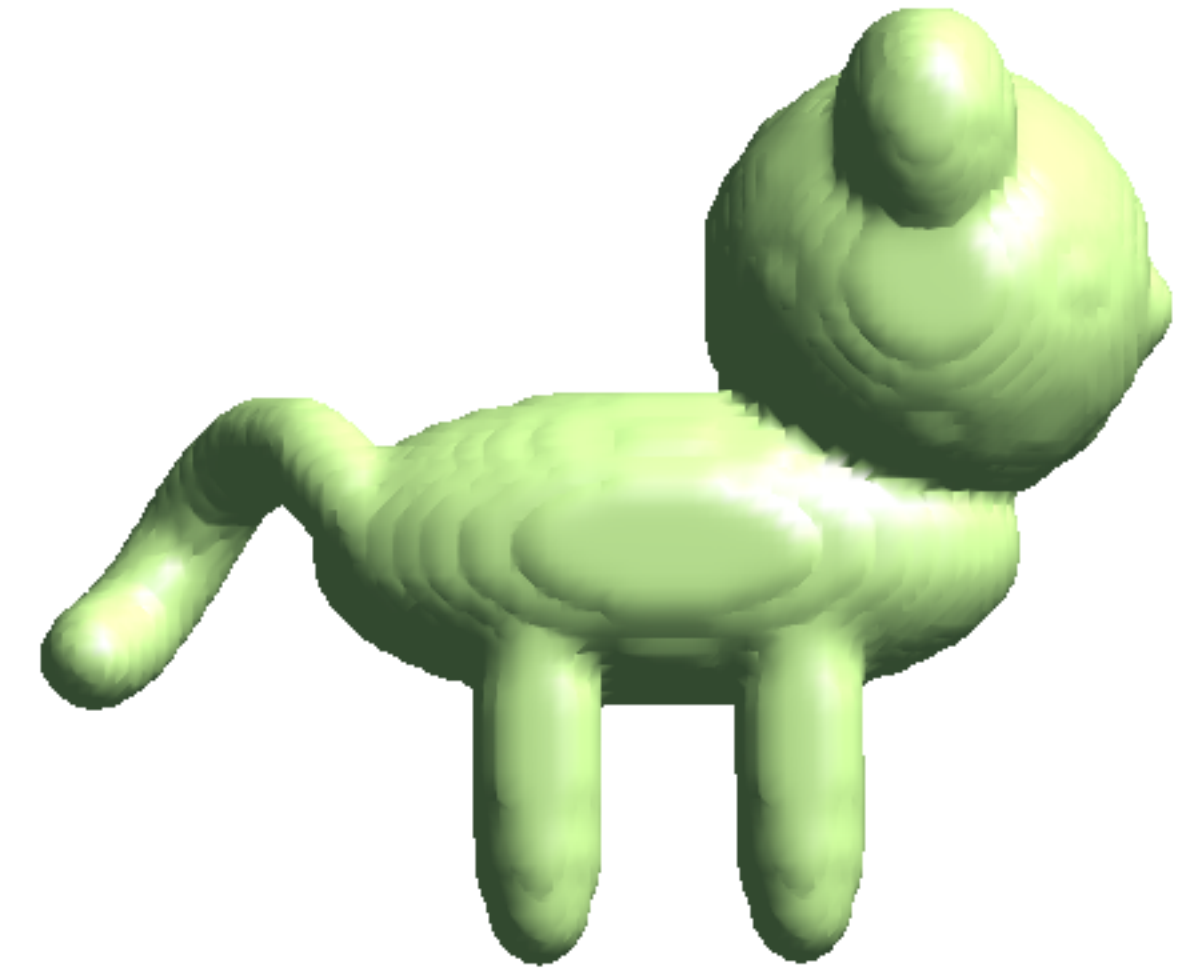
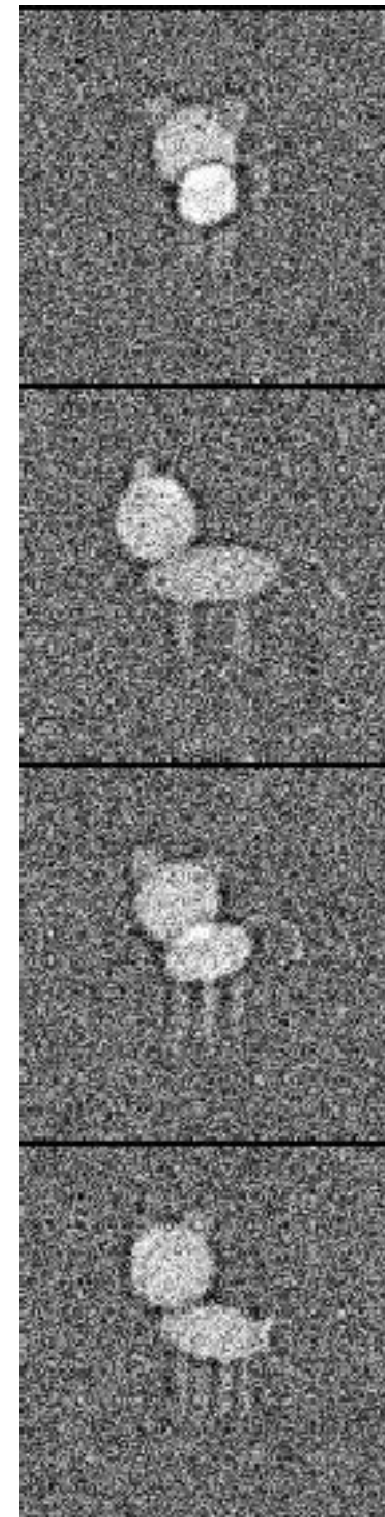
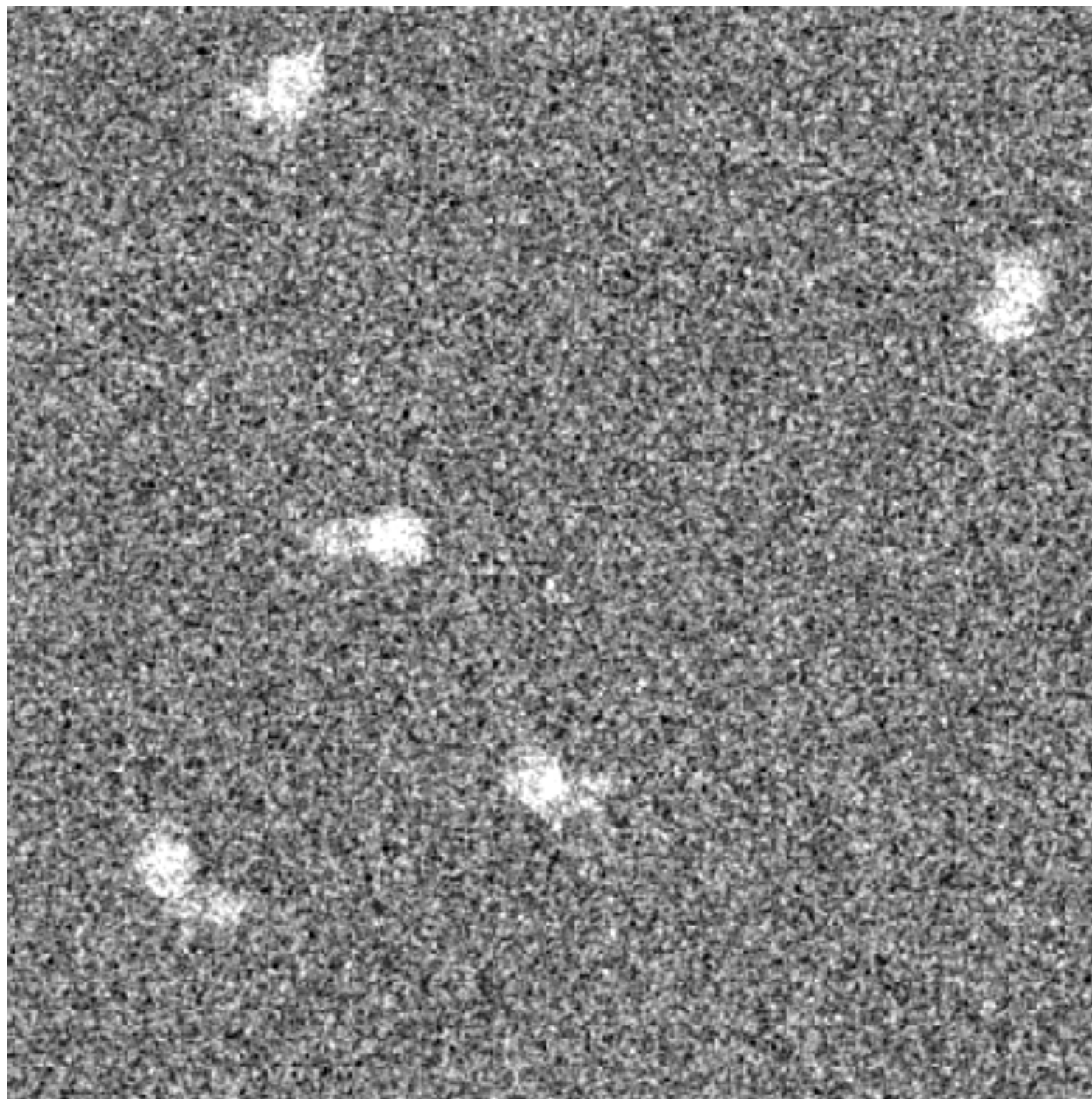


# A machine learning method for resolving heterogeneity in CryoEM single particle reconstruction

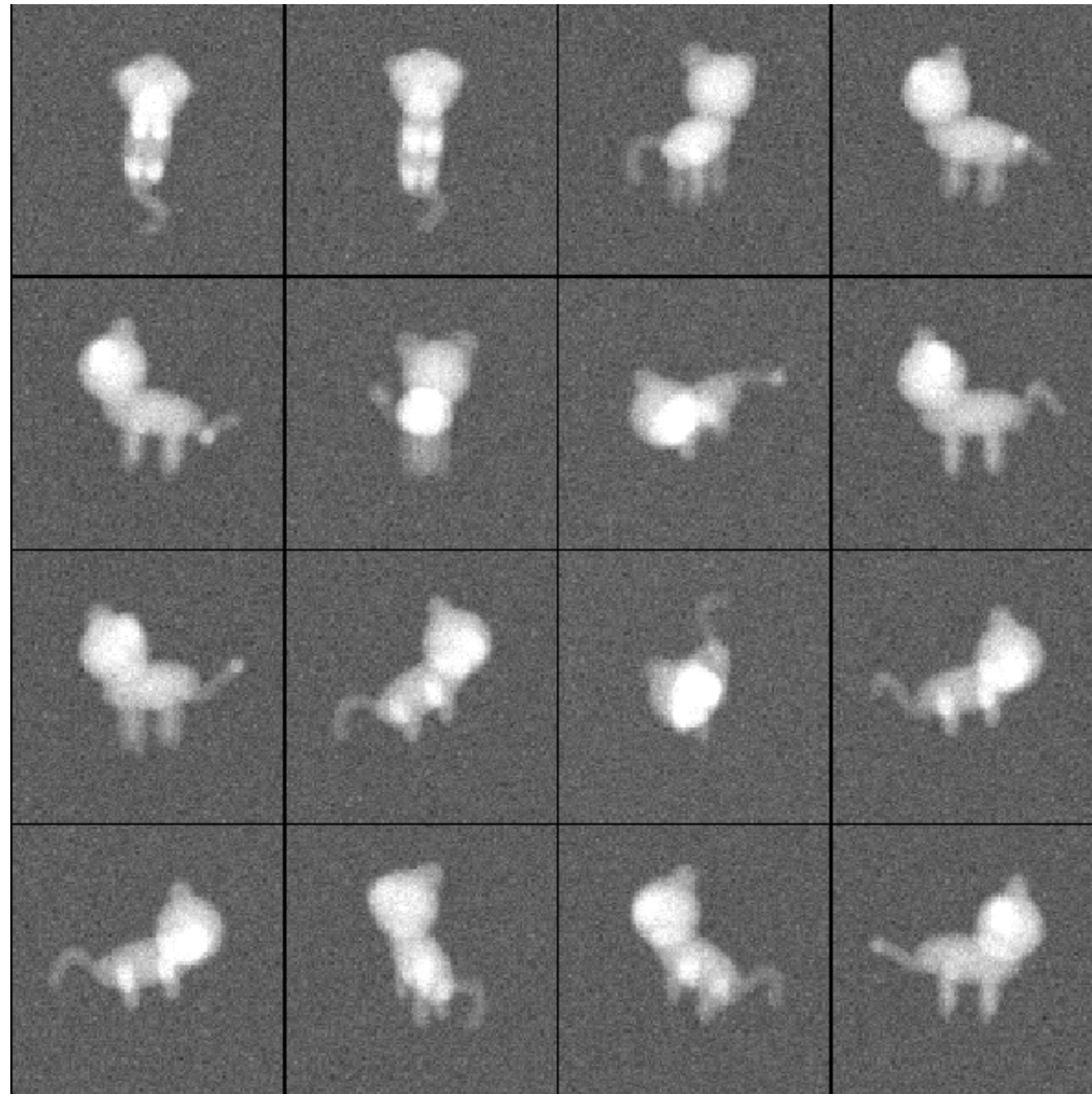
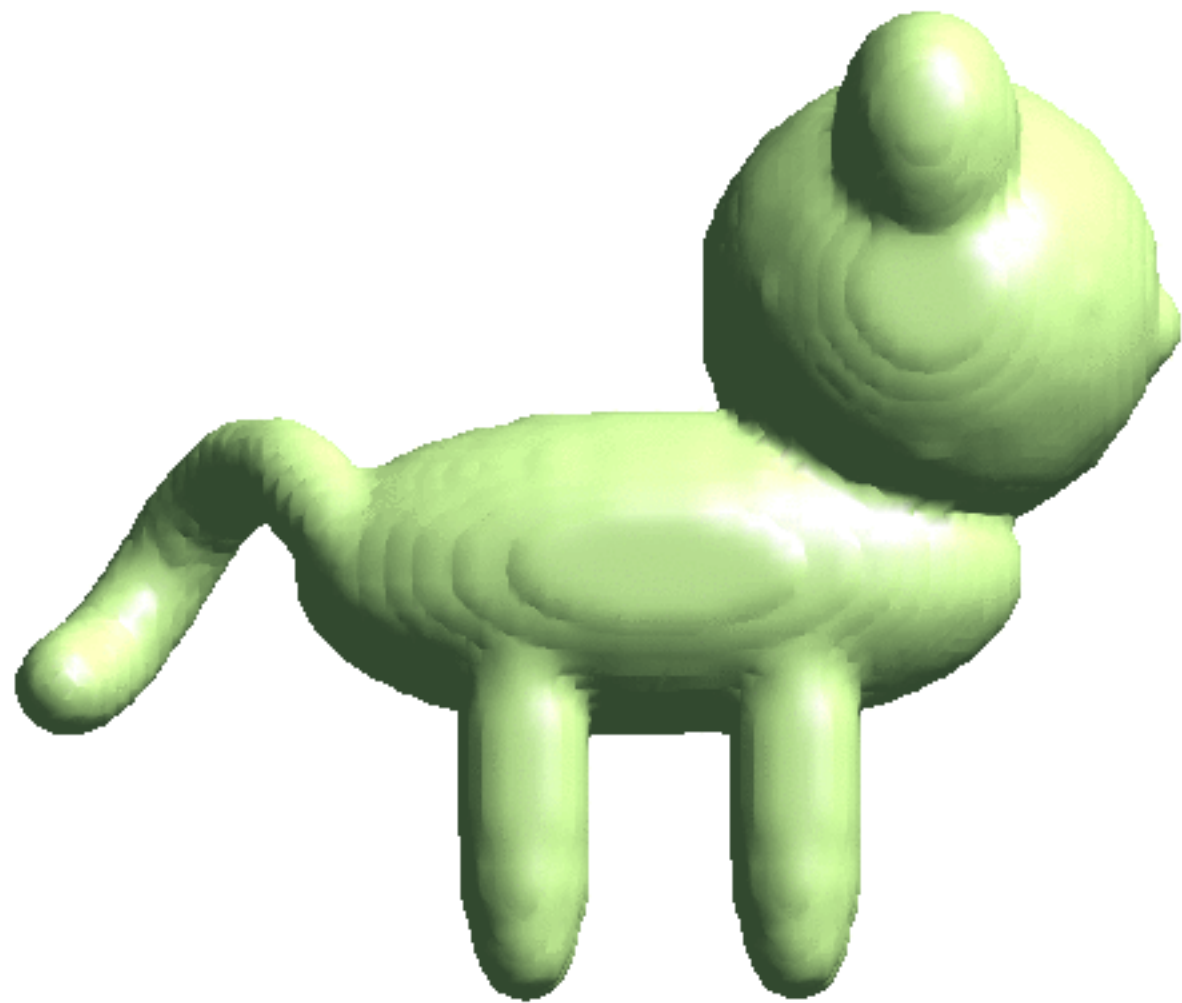
Muyuan Chen  
2018-03

# Single particle reconstruction

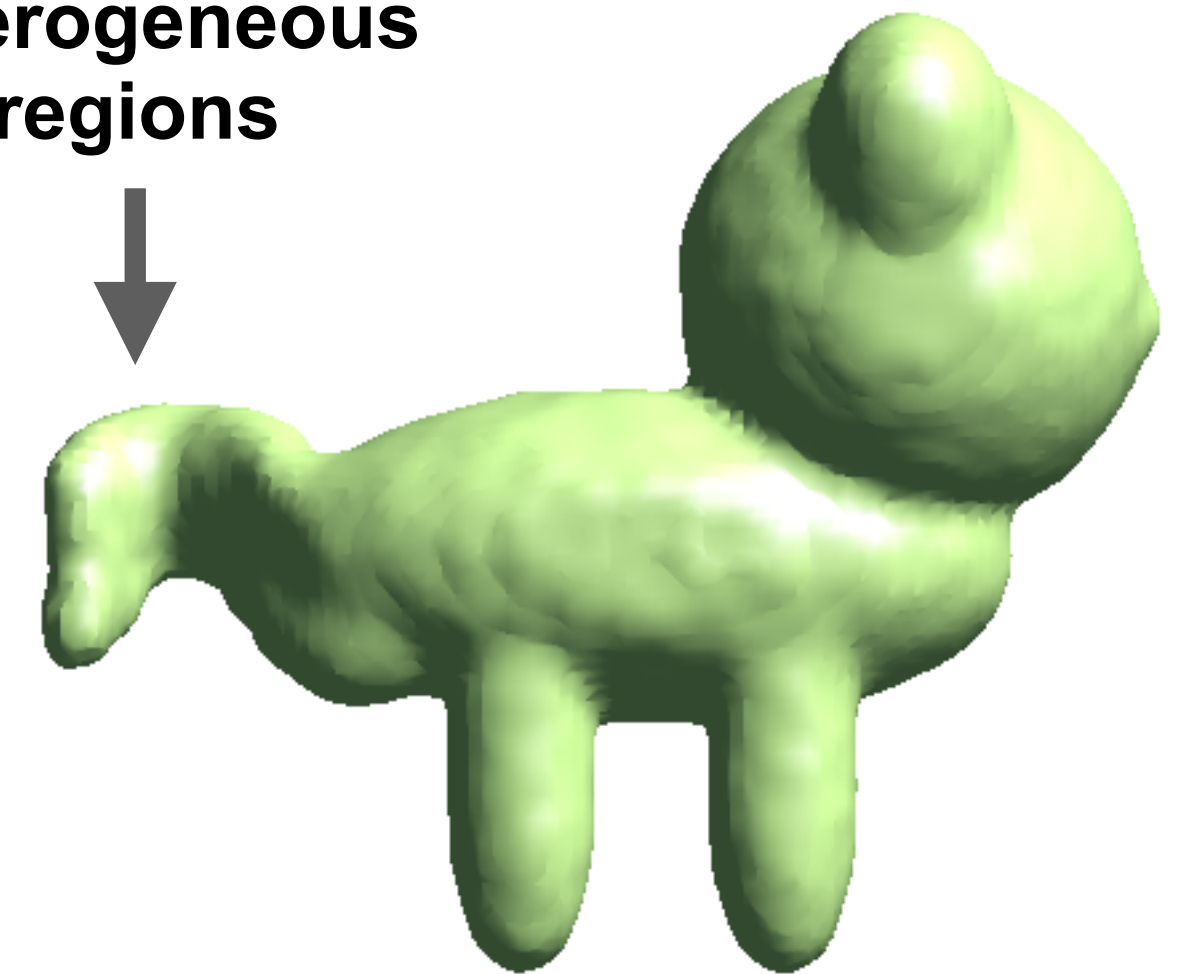




# Heterogeneity in Single particle reconstruction

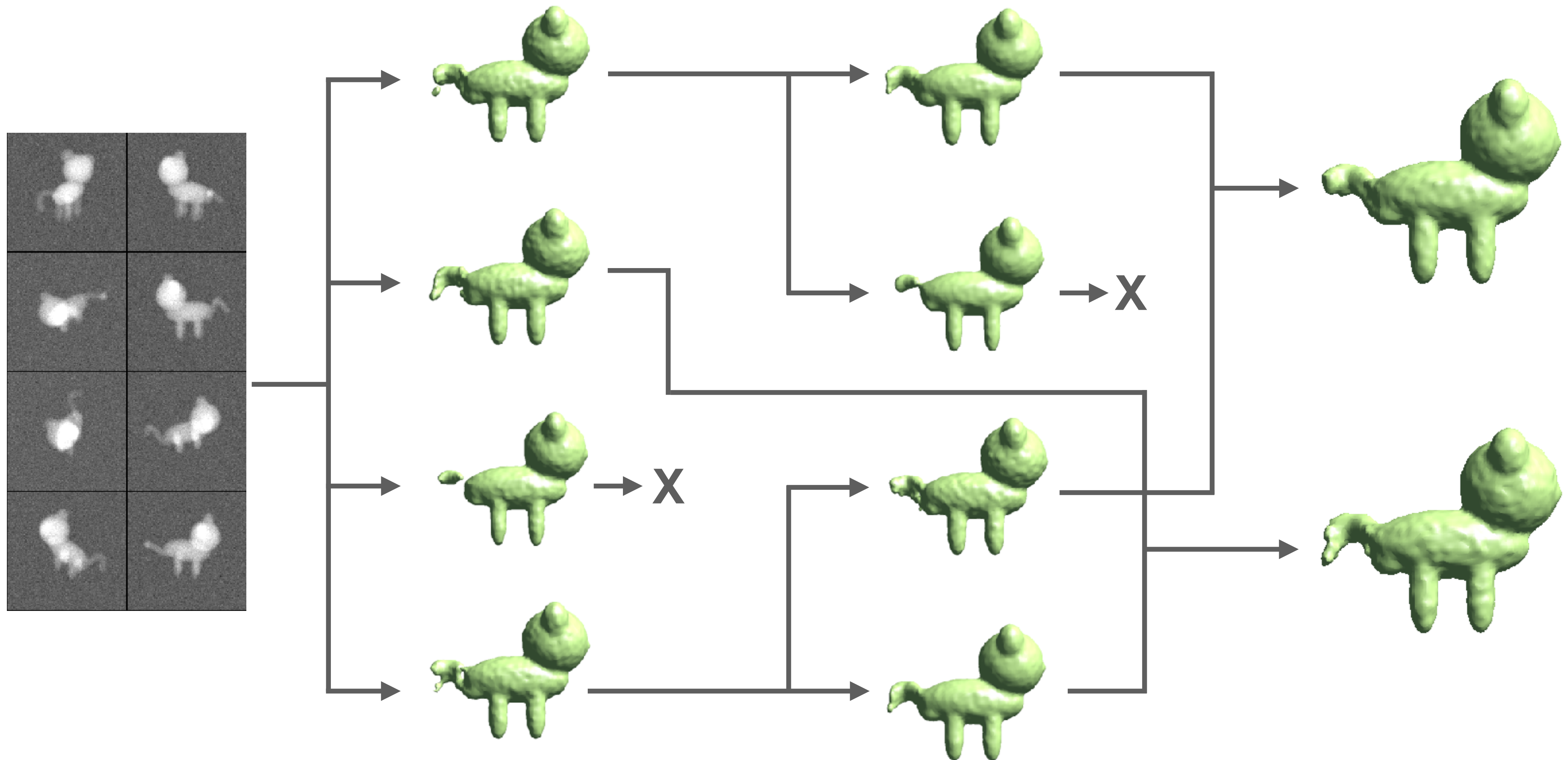


Low resolution in heterogeneous regions





# Classical strategy: multi-model refinement

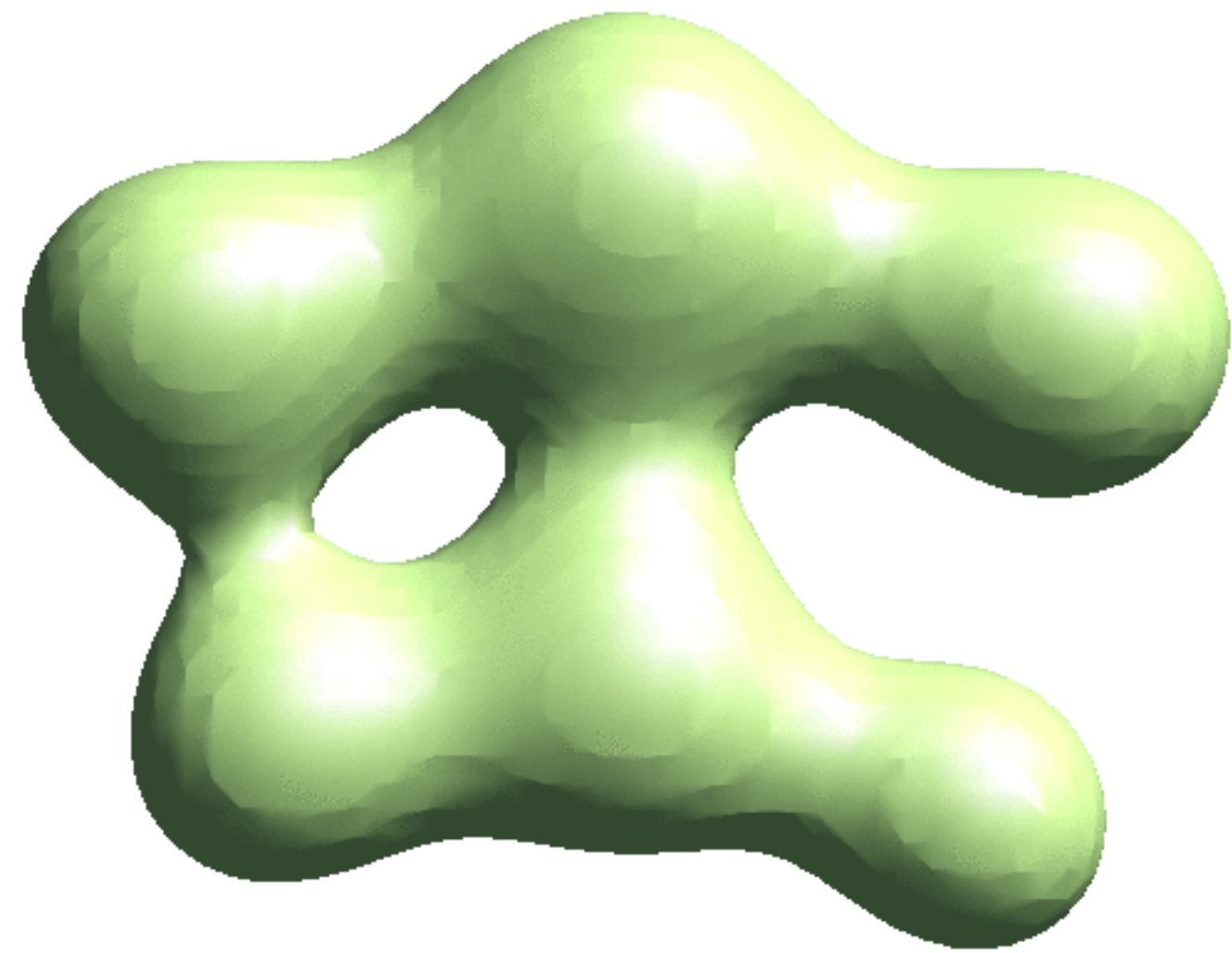


Works, but is suboptimal...

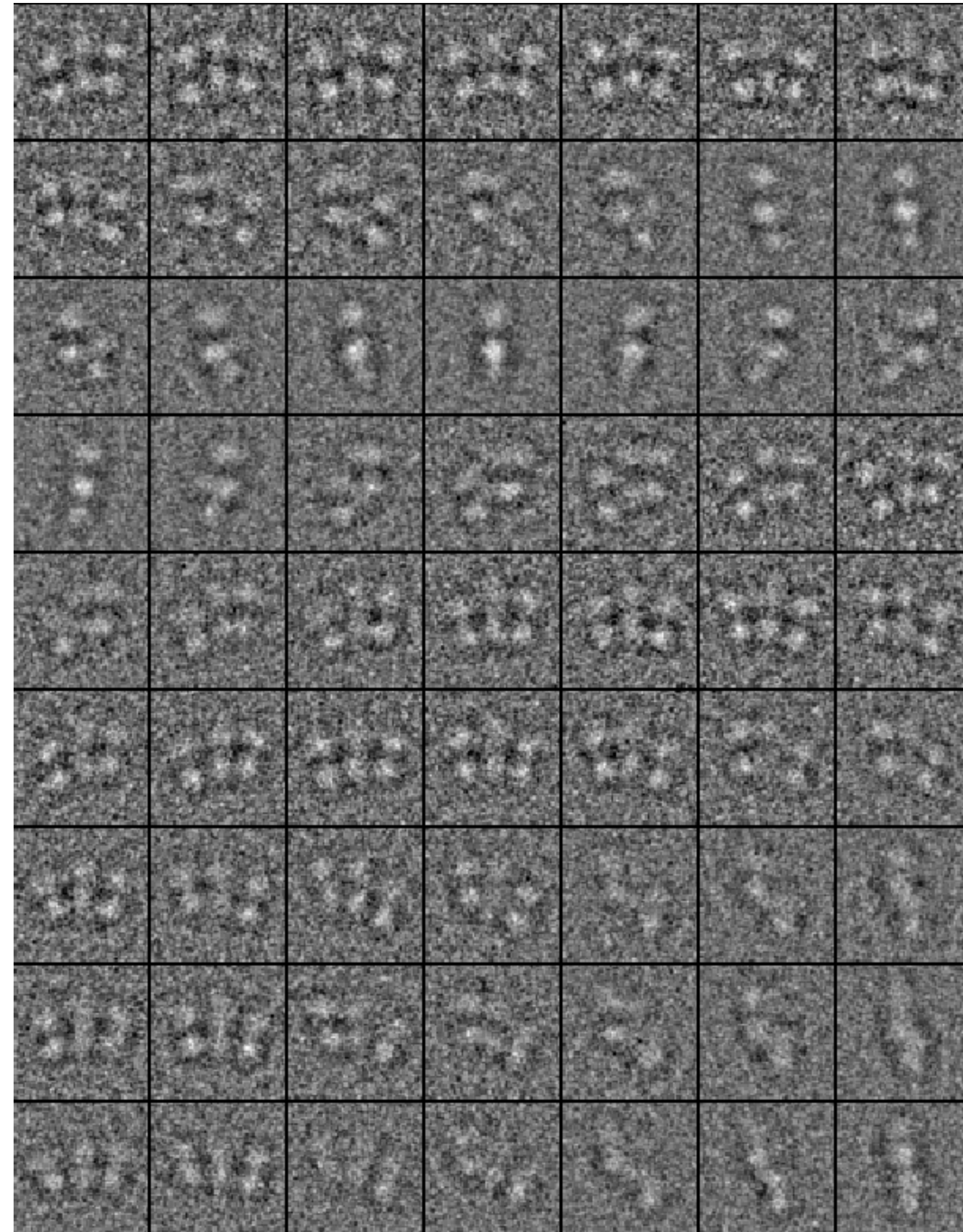
# **Determination of conformational landscape**



# A even simpler simulated dataset

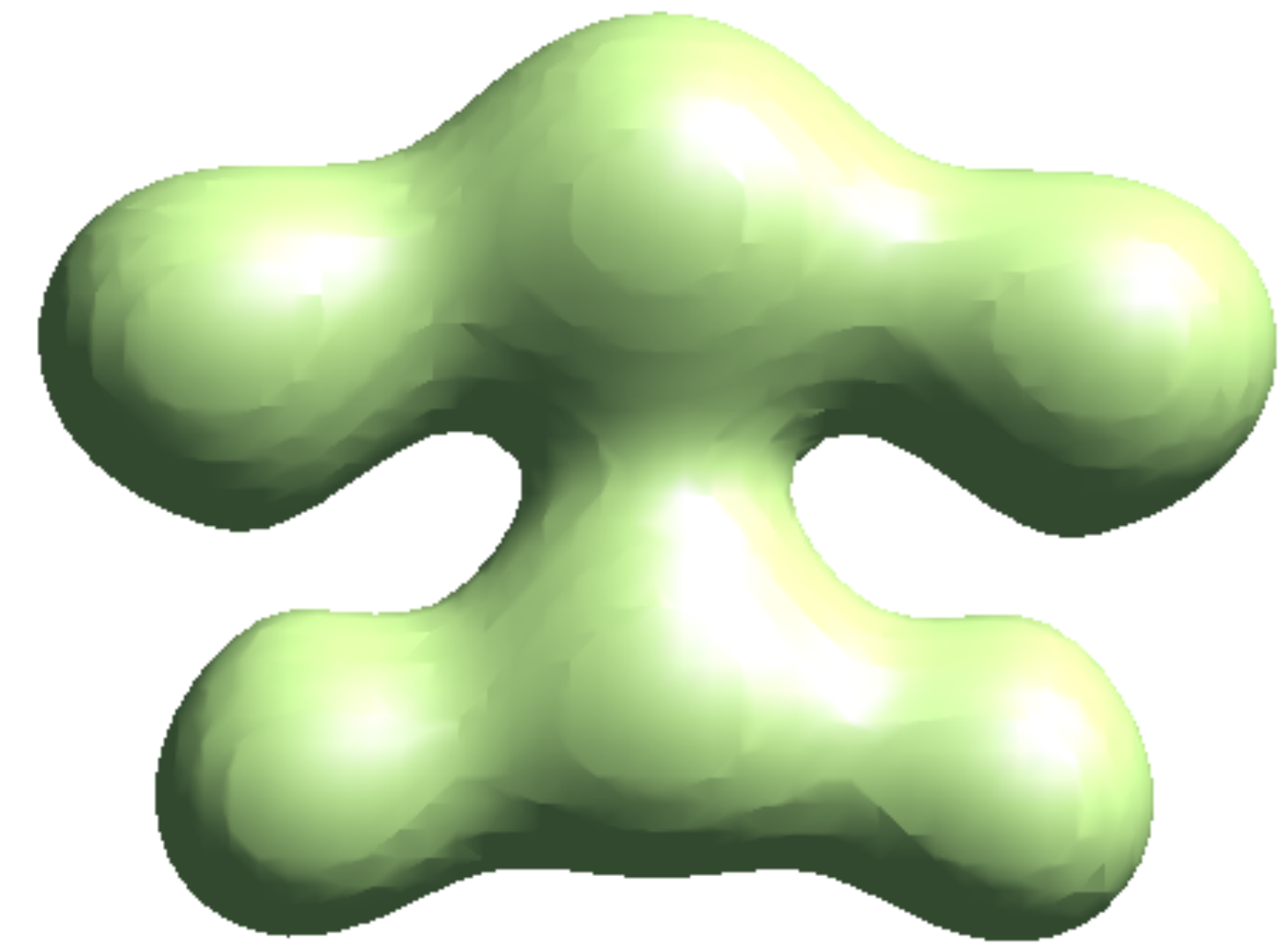
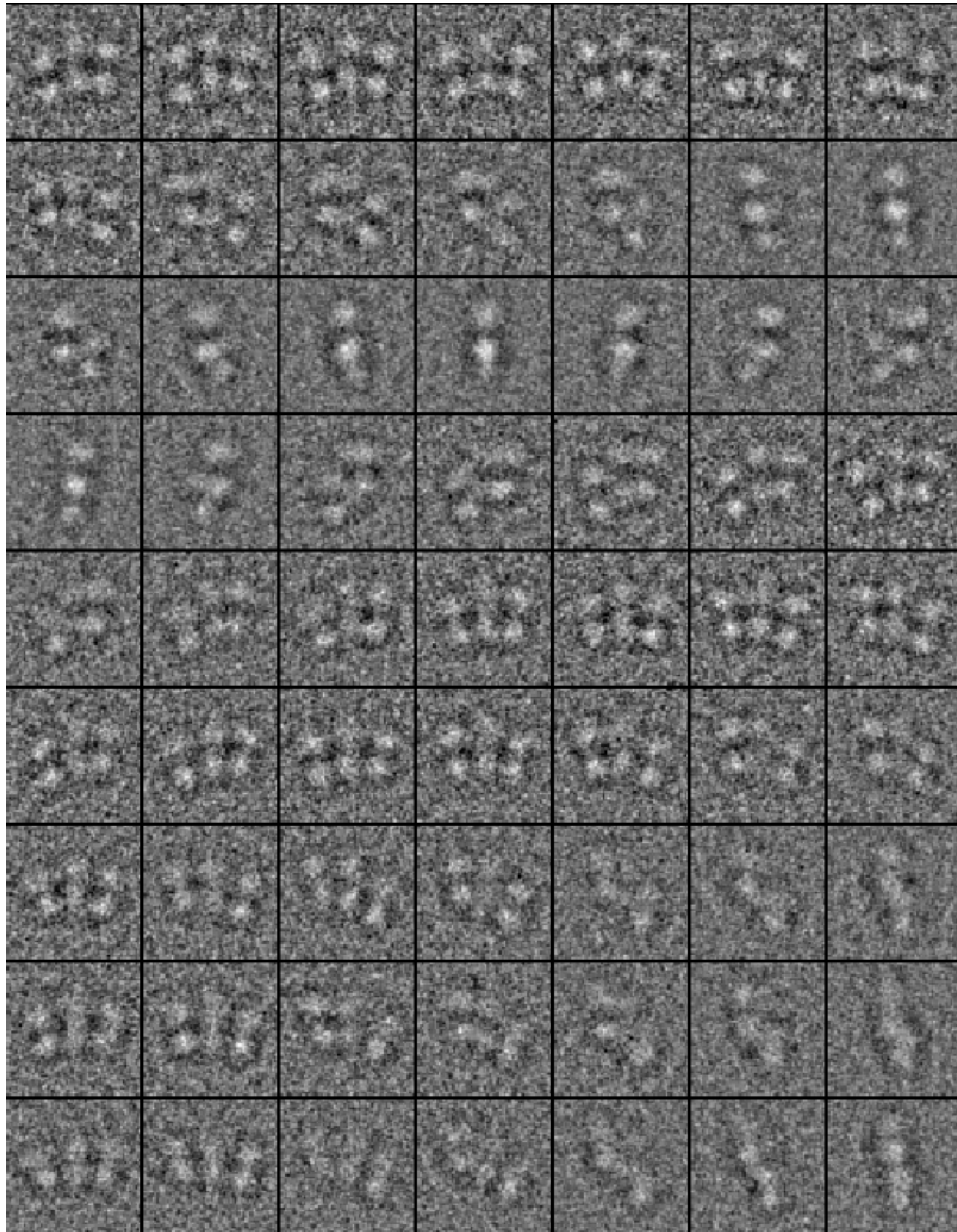


- 3000 particles from 11 classes
- Low resolution SNR:  $\sim 1$
- 2 - 3.5 $\mu\text{m}$  defocus

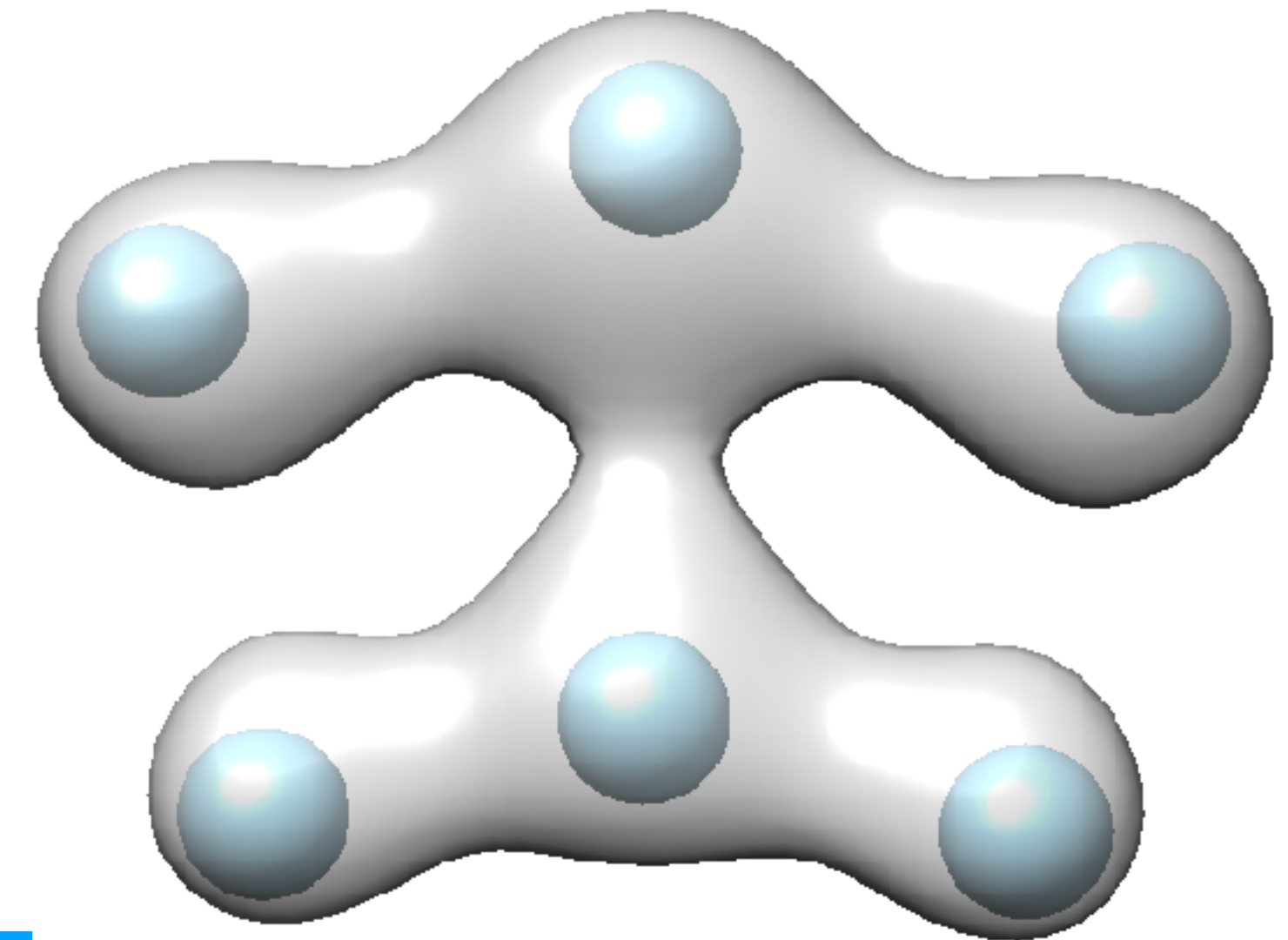
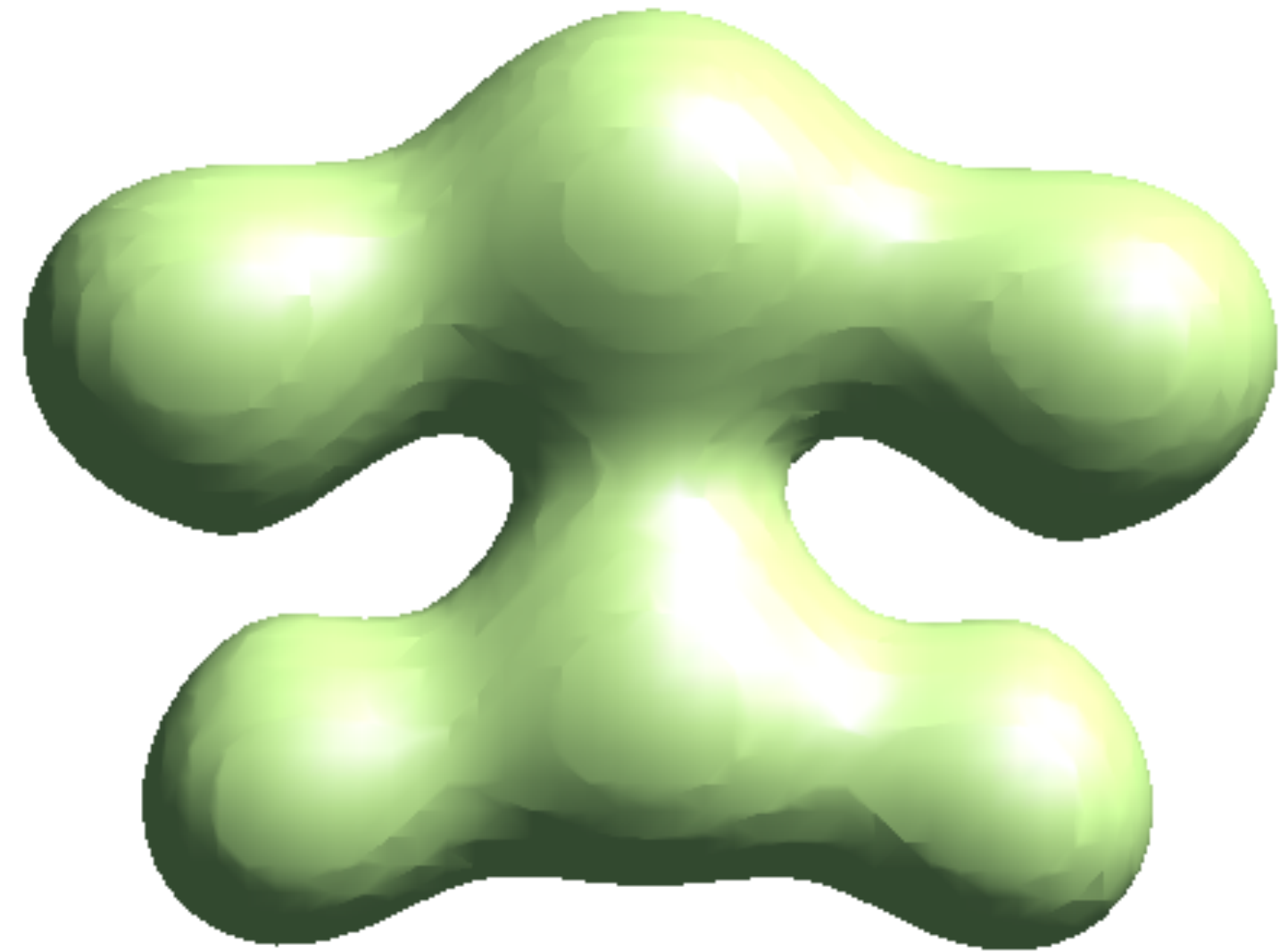




# Start form a single model refinement



# Gaussian representation of density map



$$map(\mathbf{x}) = \sum_{j=0}^n A_j e^{-\frac{\|\mathbf{x}-\mathbf{p}_j\|^2}{\sigma_j^2}}$$

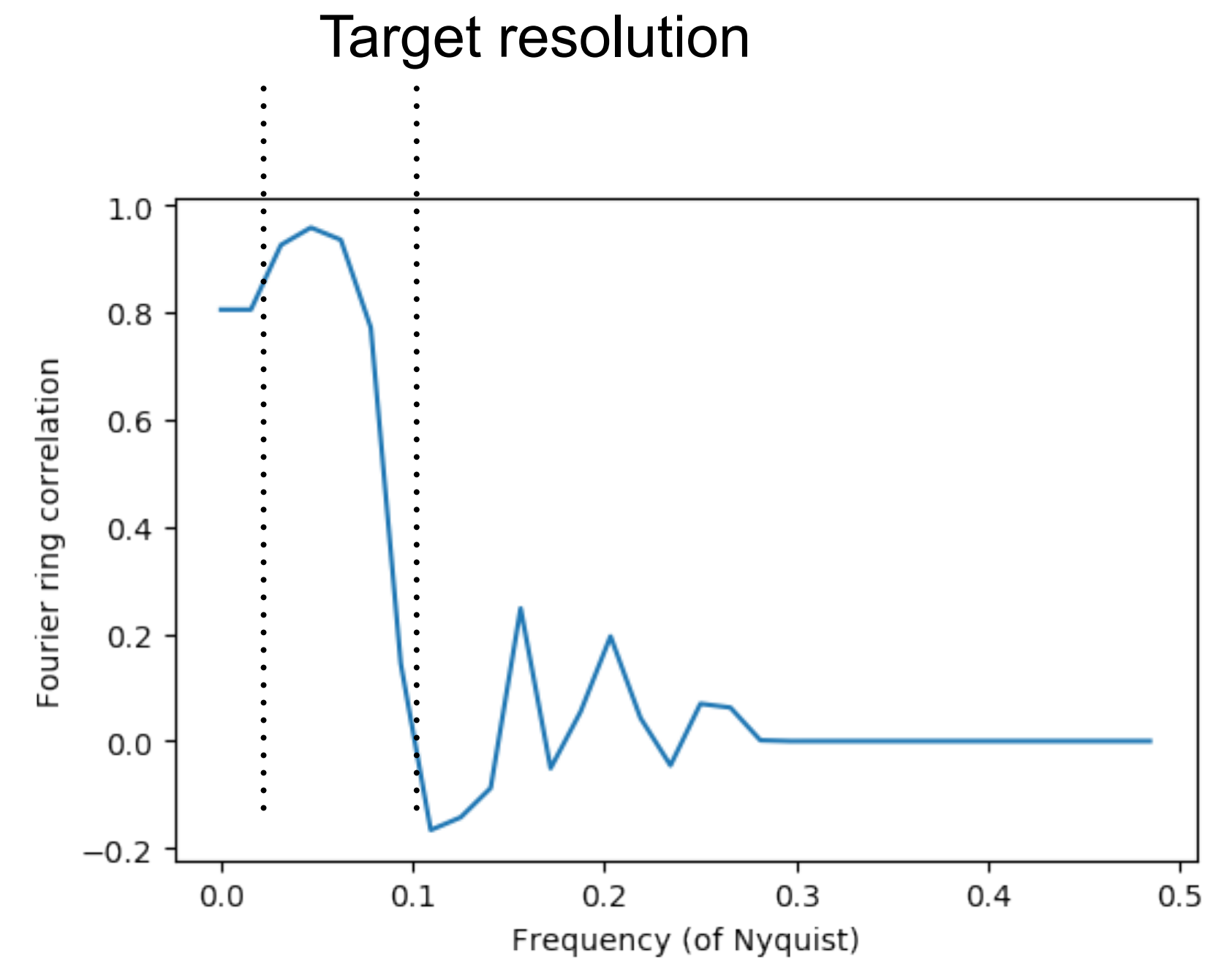
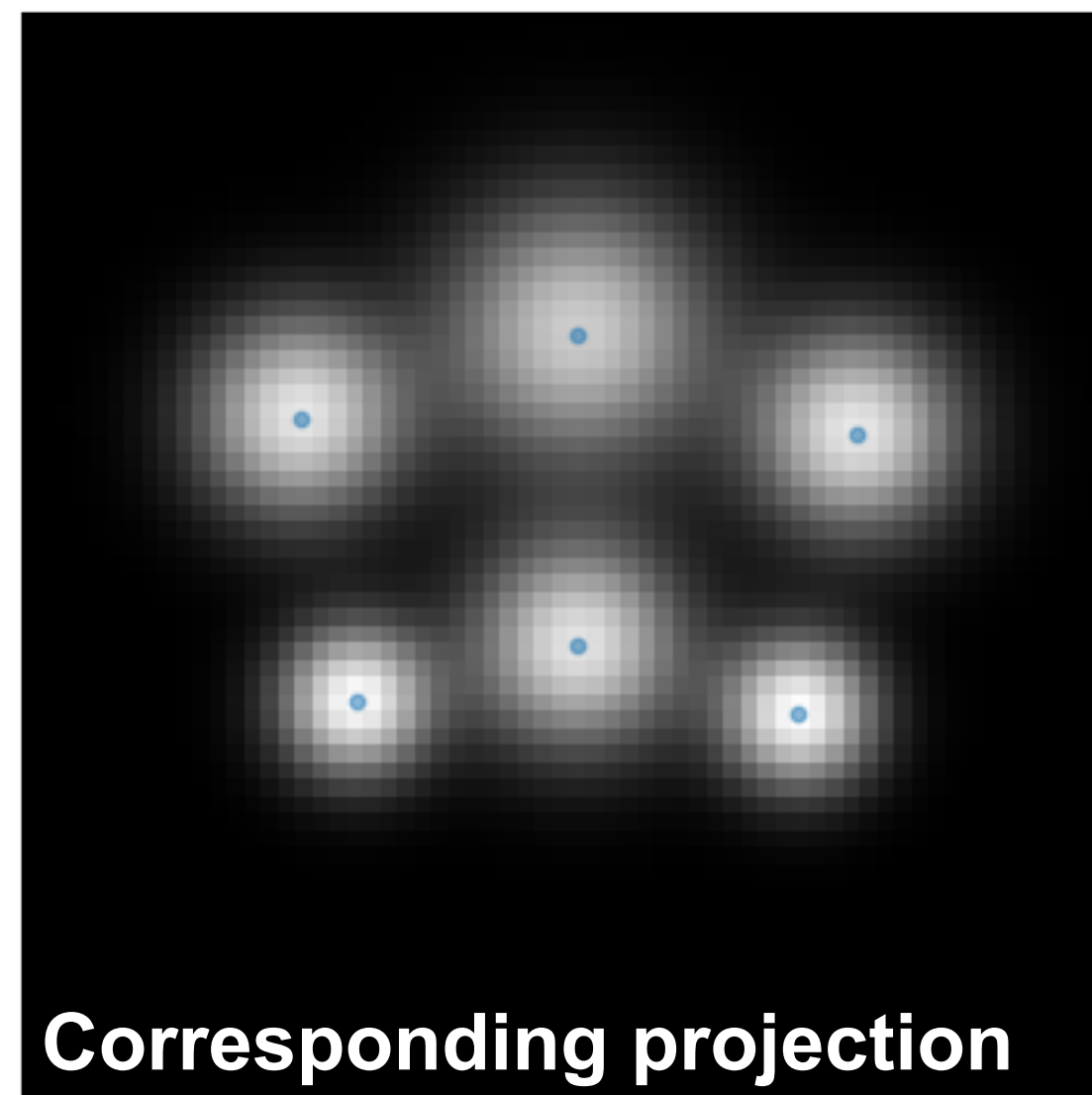
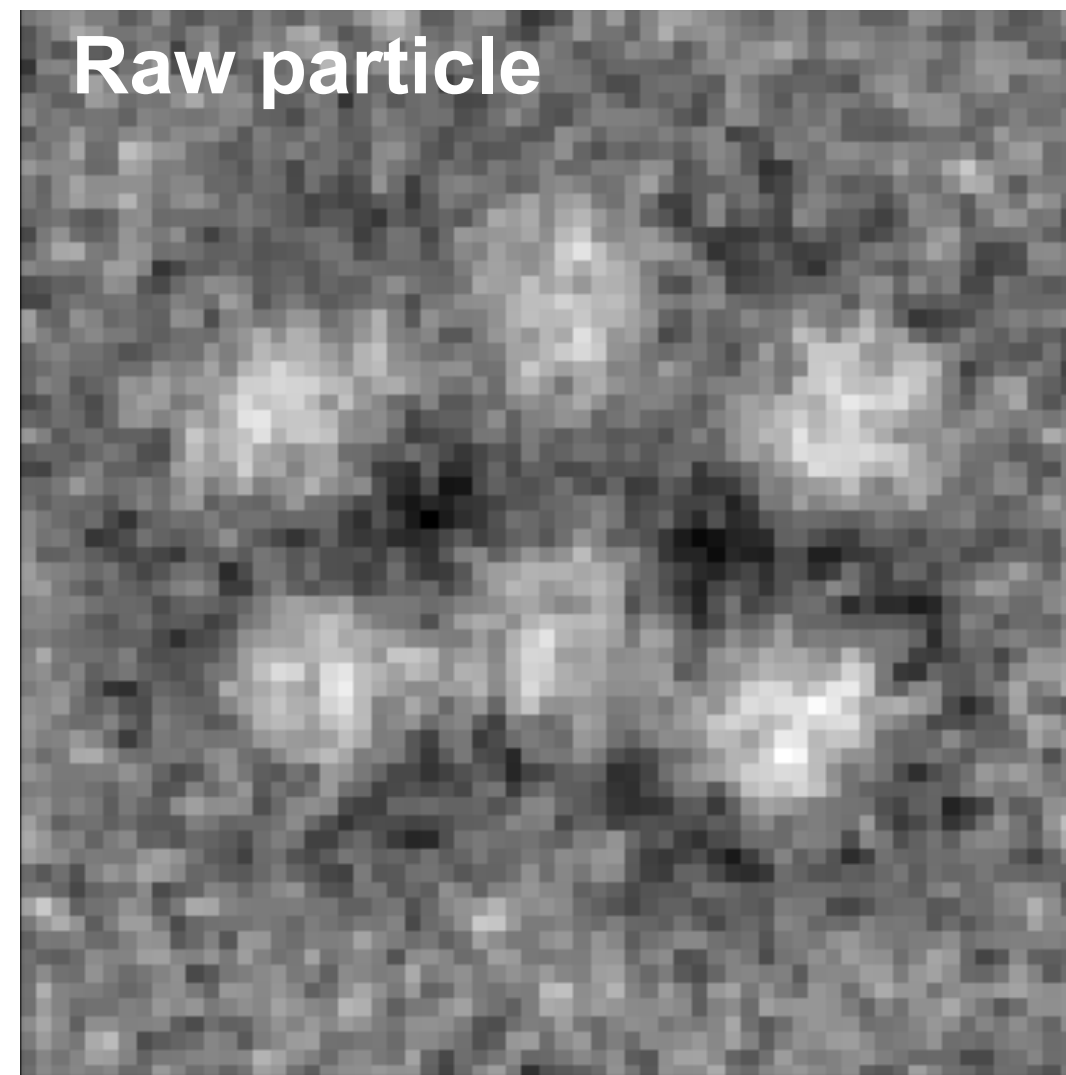
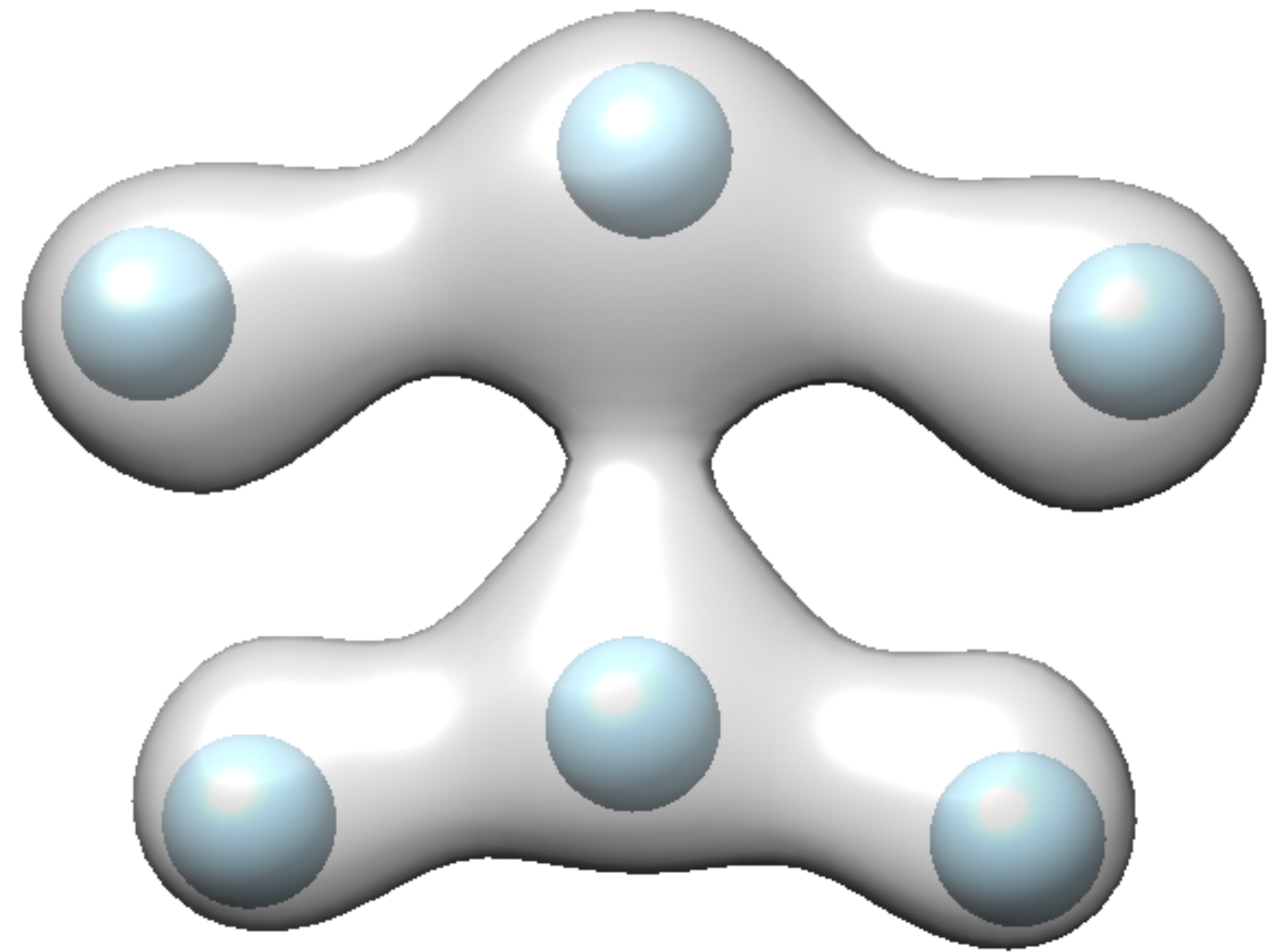
Diagram illustrating the Gaussian representation of a density map. The equation shows the density map  $map(\mathbf{x})$  as a sum of Gaussian functions. The parameters are labeled:

- Amplitude**:  $A_j$
- Sigma**:  $\sigma_j$
- 3D Position vector**:  $\mathbf{p}_j$

- Reduce complexity
- Easier to model continuous motion

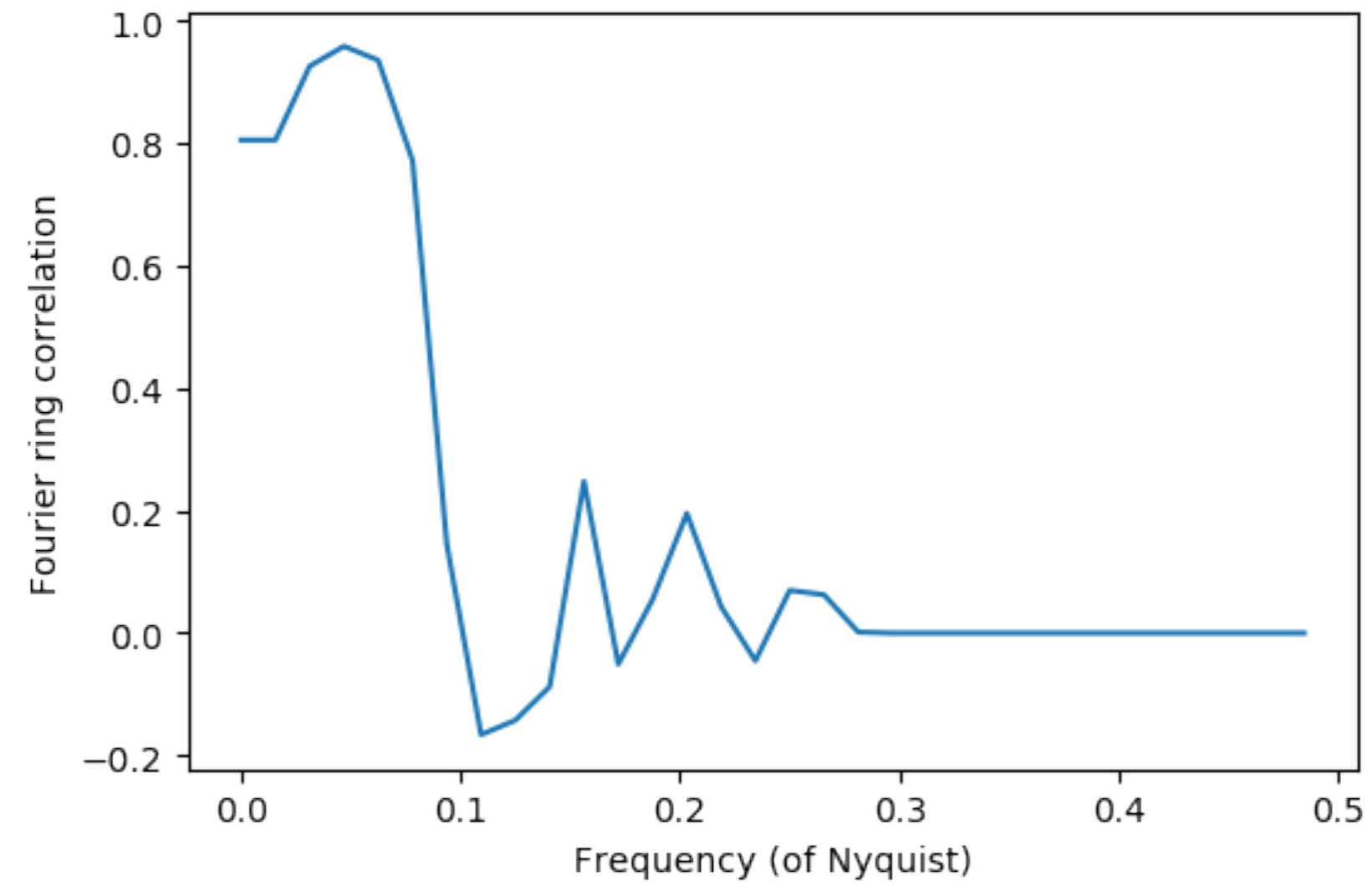
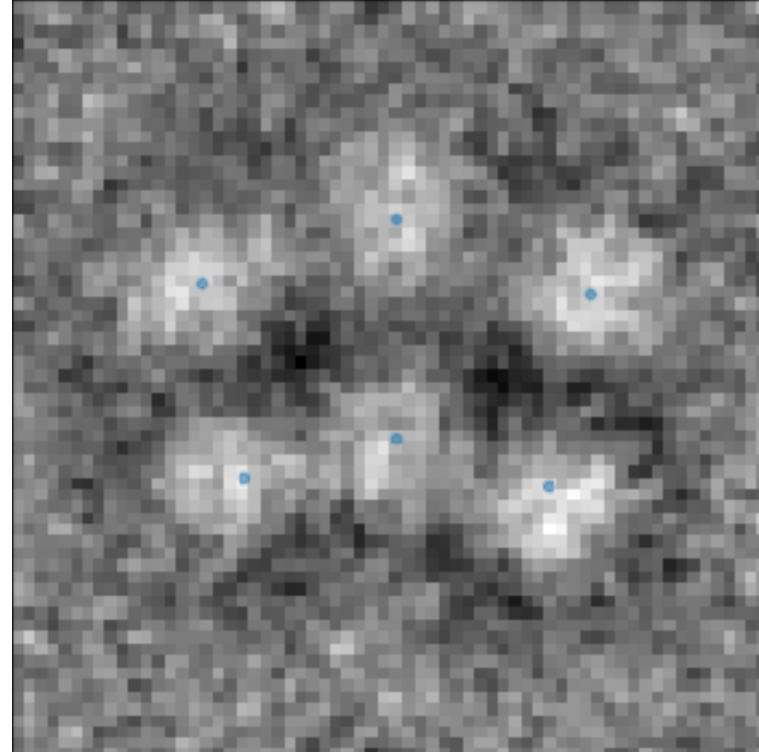
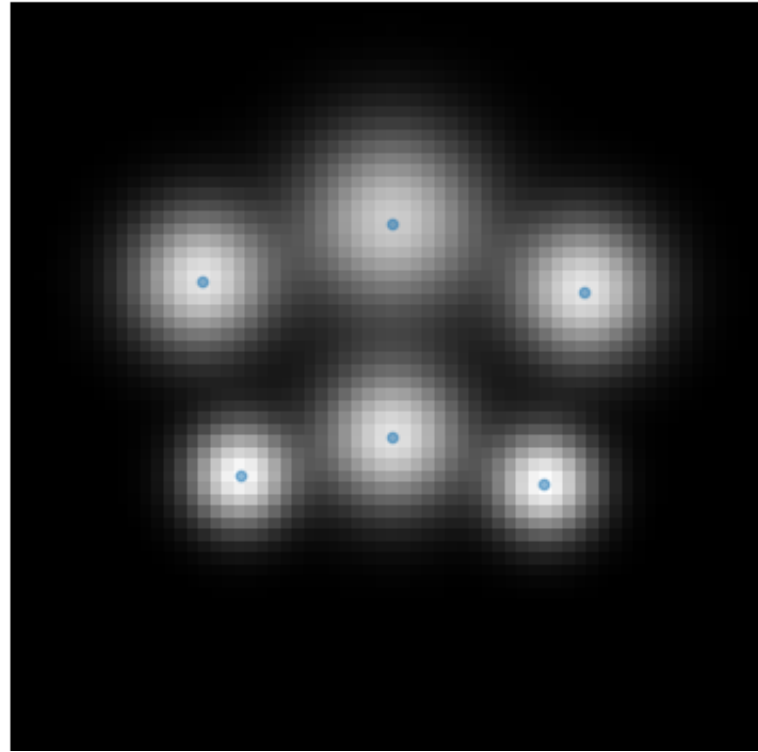


# Particle-projection comparison



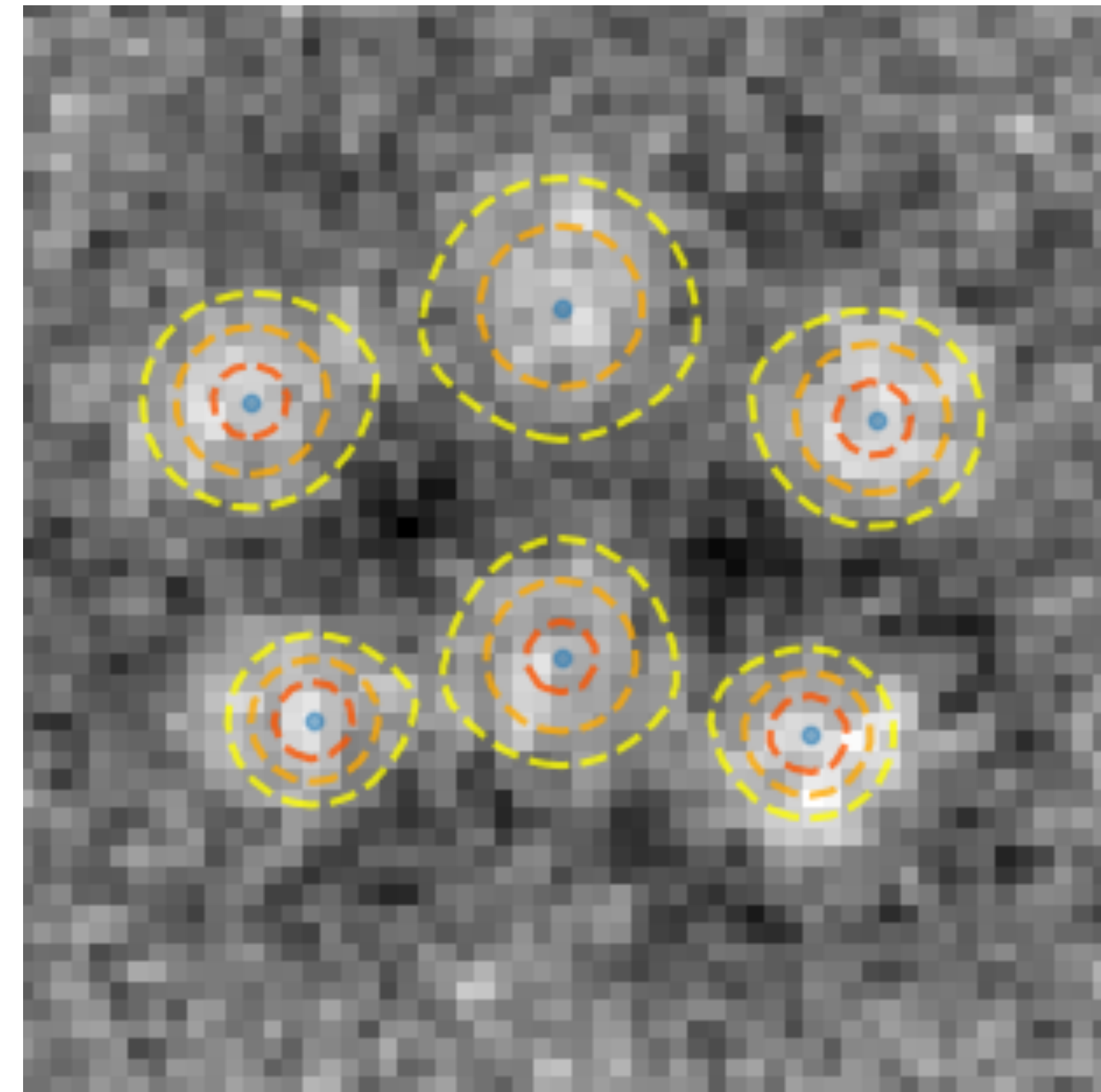
Particle-projection FRC

# Gradient calculation



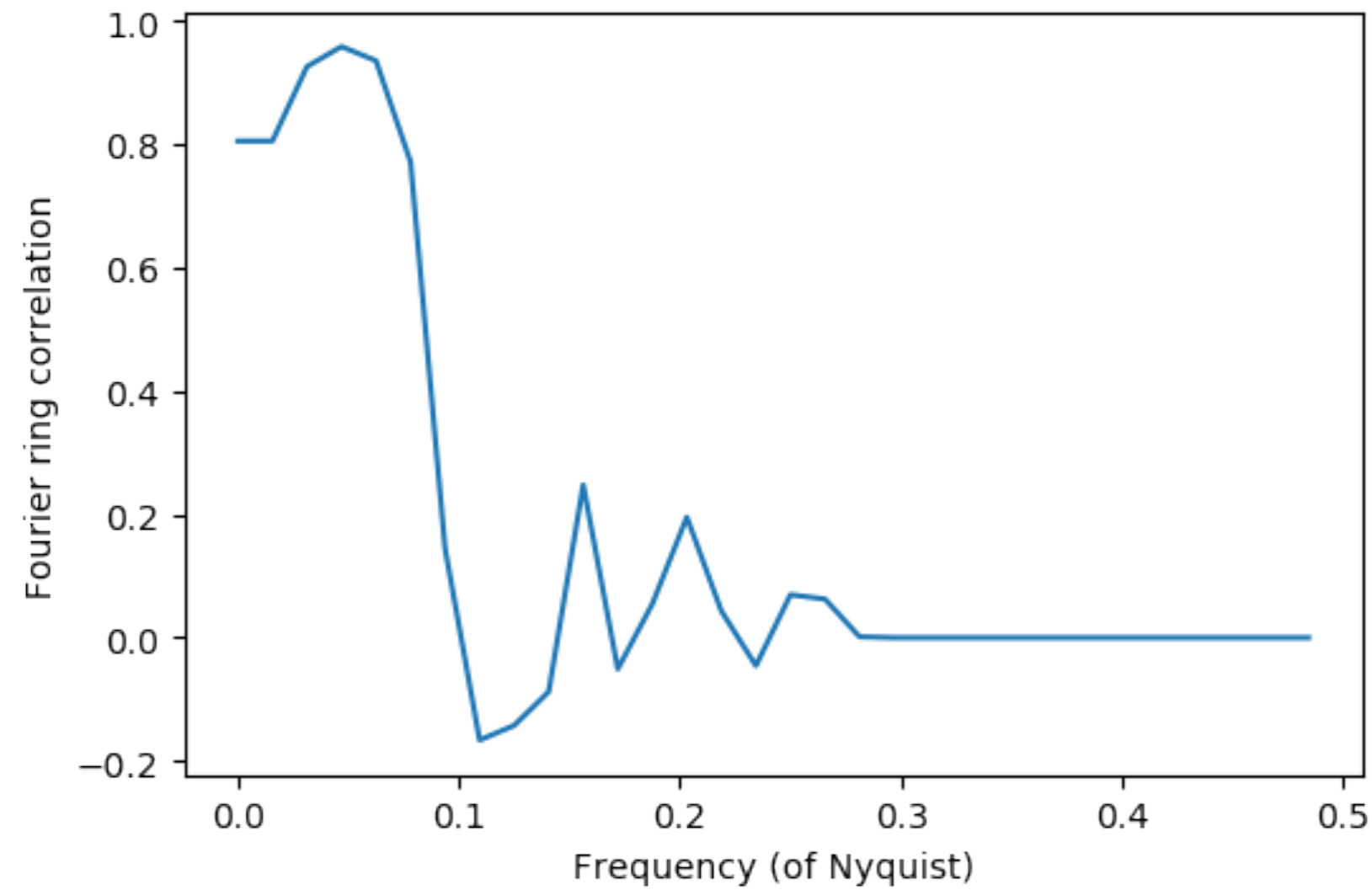
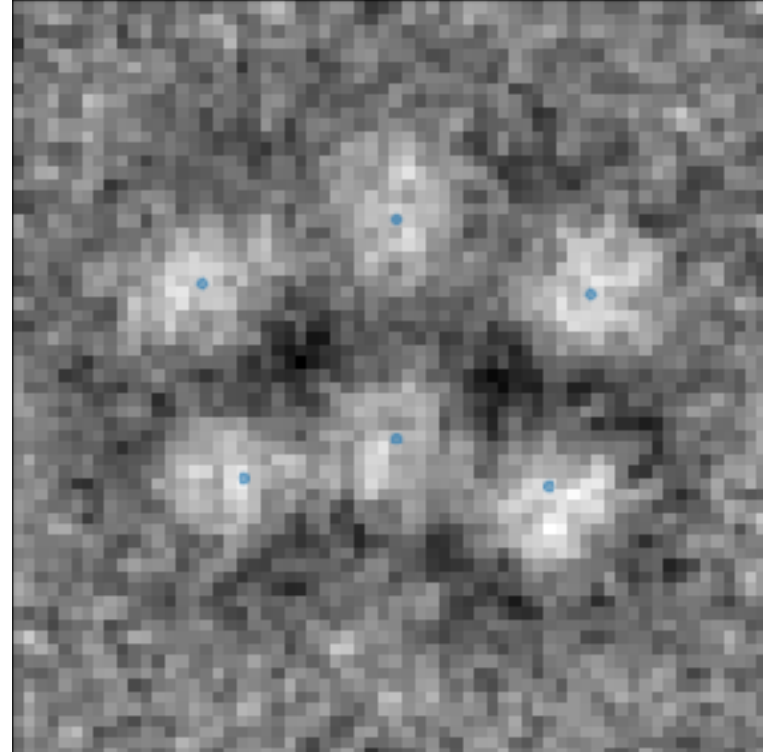
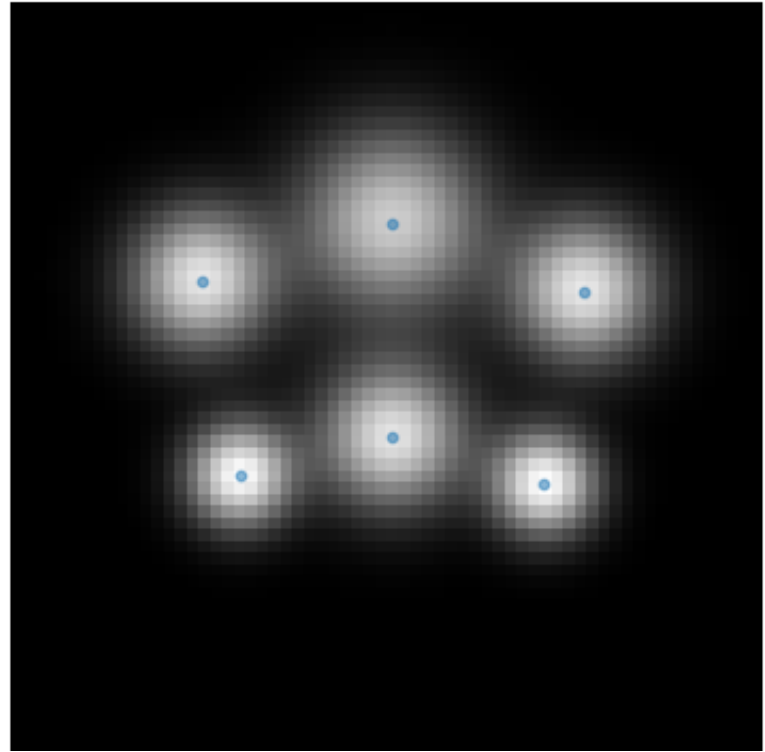
Goal:

To improve particle-projection matching (FRC)

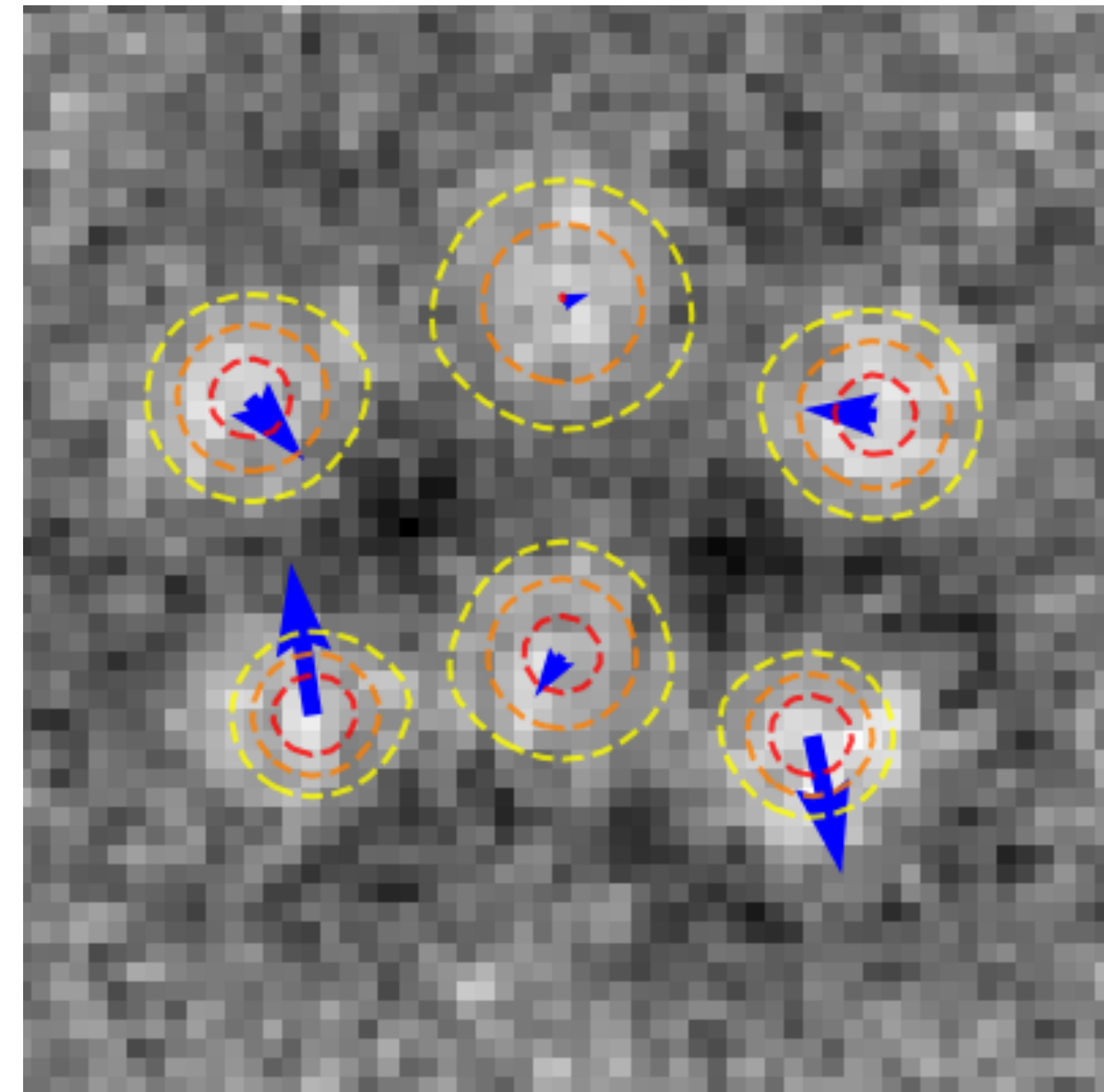




# Gradient calculation



$$\text{Grad}_j = \frac{\partial FRC}{\partial \mathbf{p}_j}$$

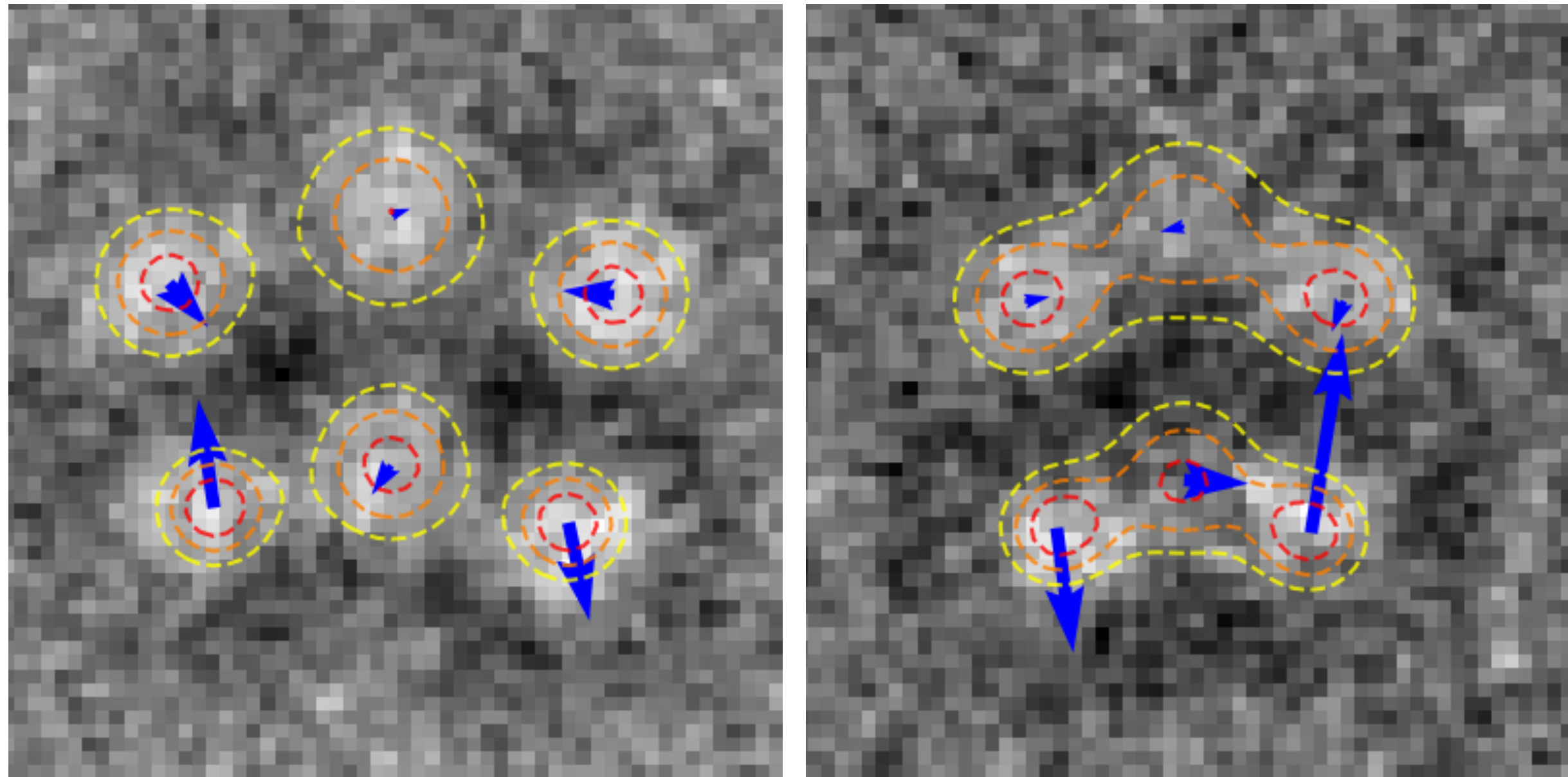


Goal:

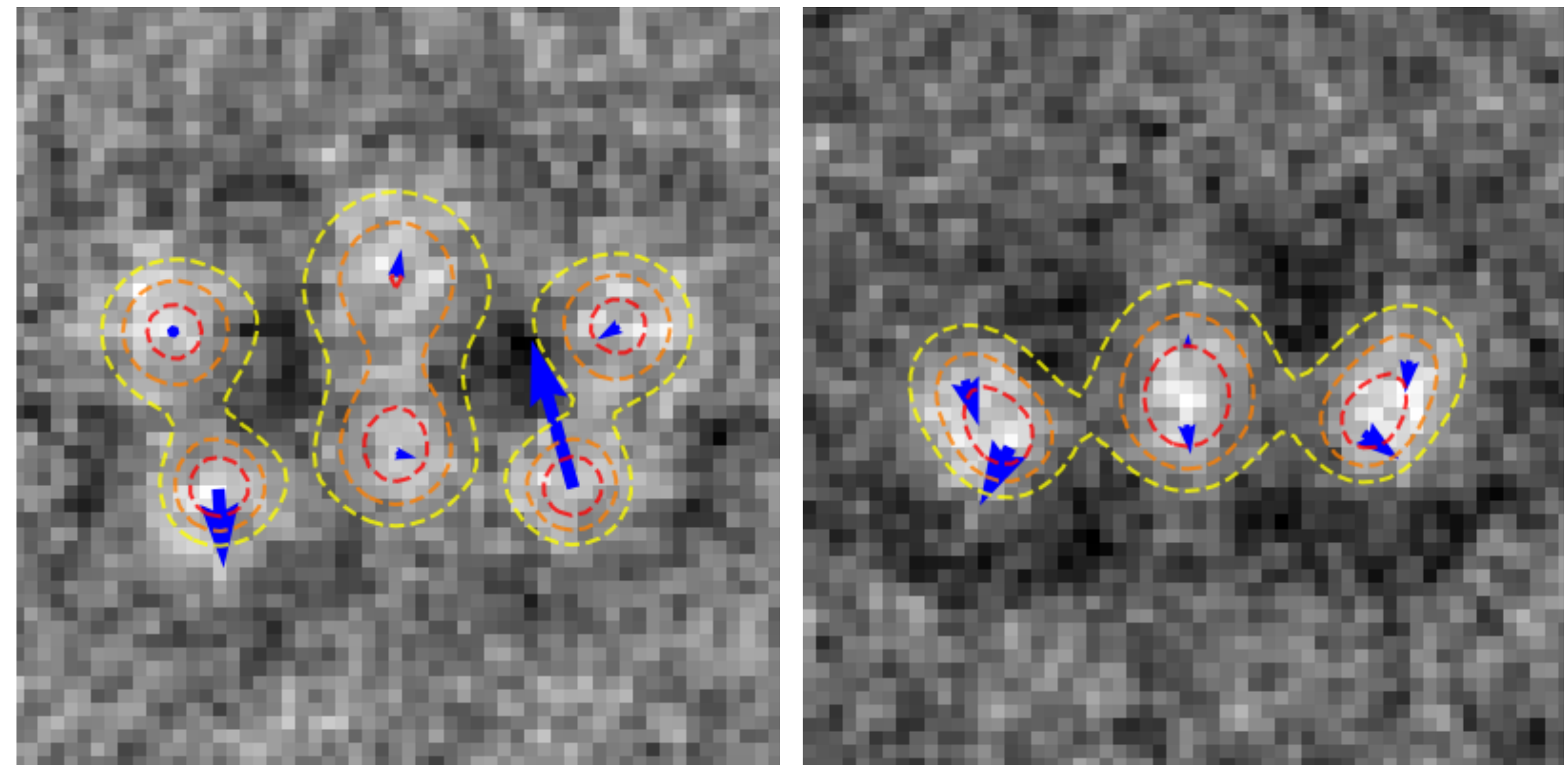
To improve particle-projection matching (FRC)

For each Gaussian, which direction should it move to improve the particle-projection FRC on each particle?

# Gradient per particle per Gaussian

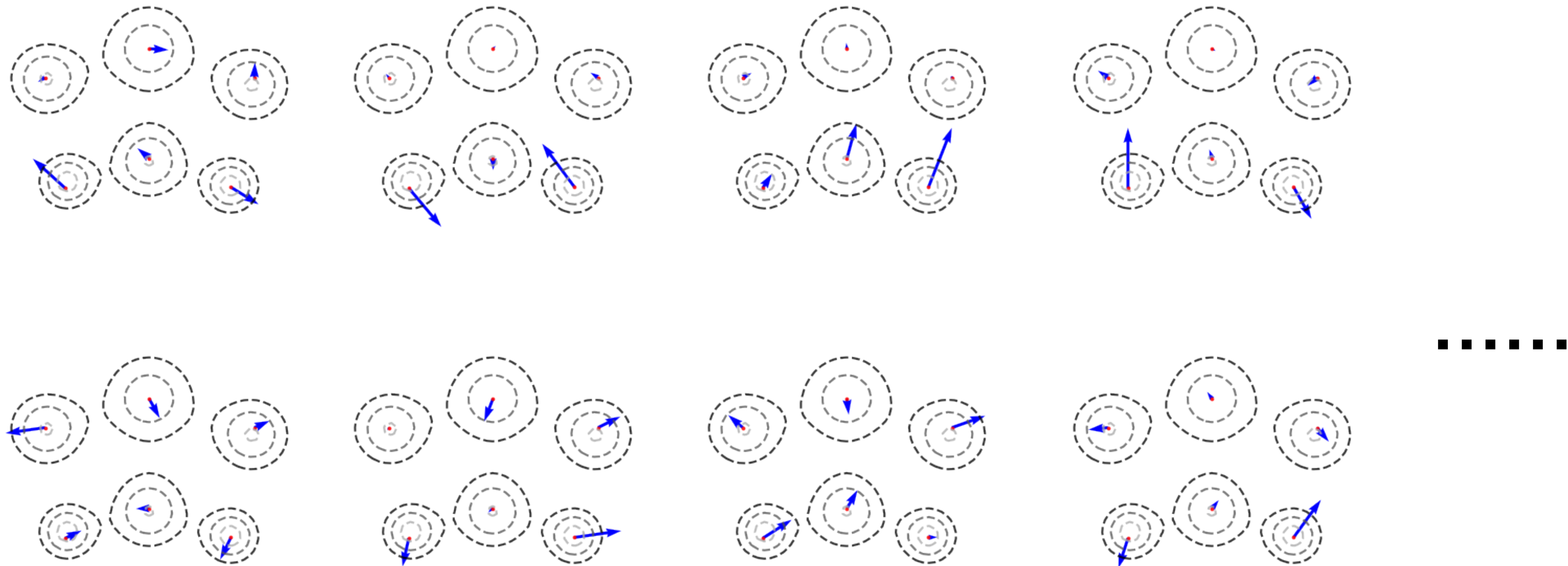


**2D gradient vectors on projection plane**



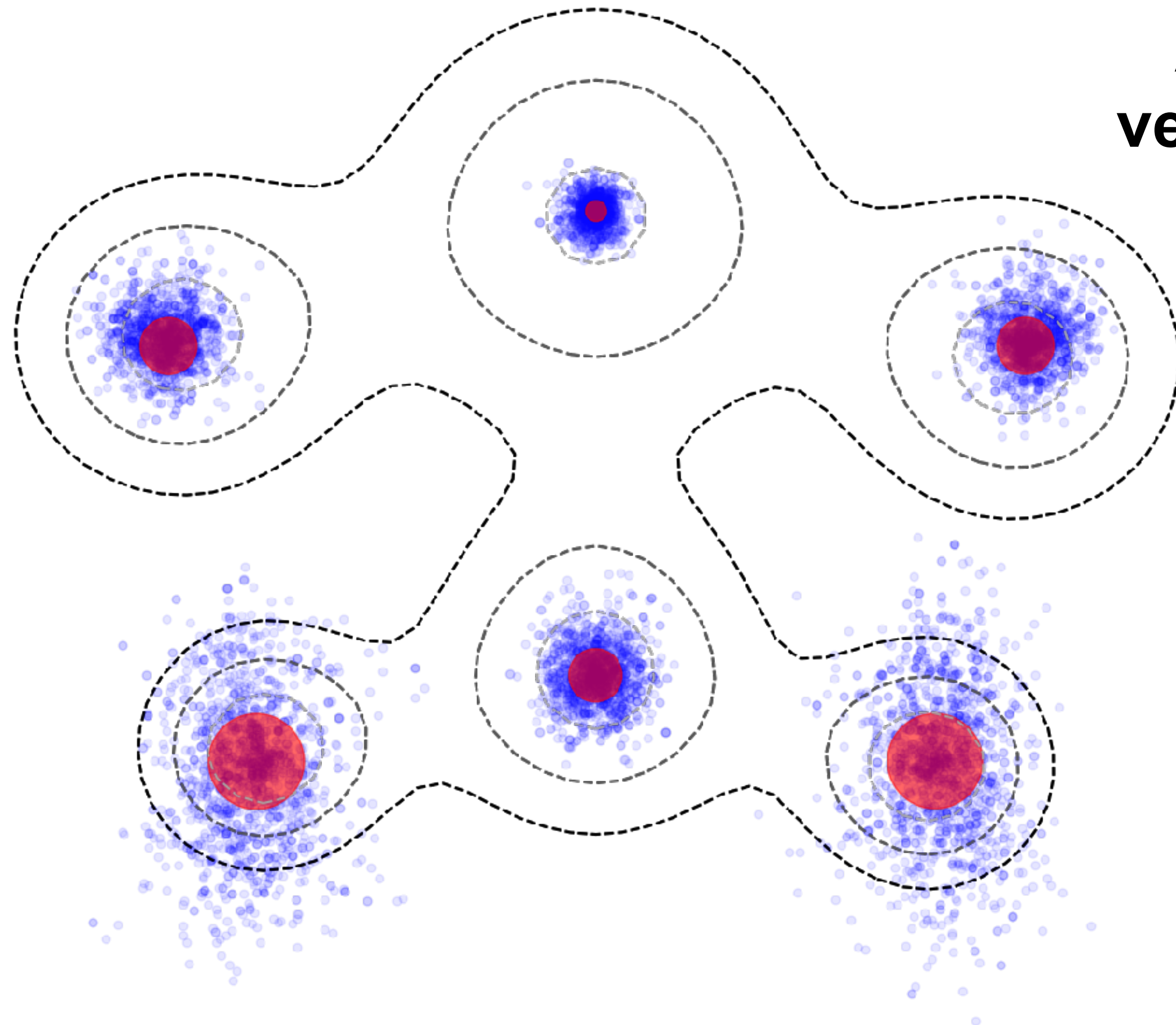


# Gather gradient vectors of all particles in 3D



**3D gradient vectors projected on x-y plane**

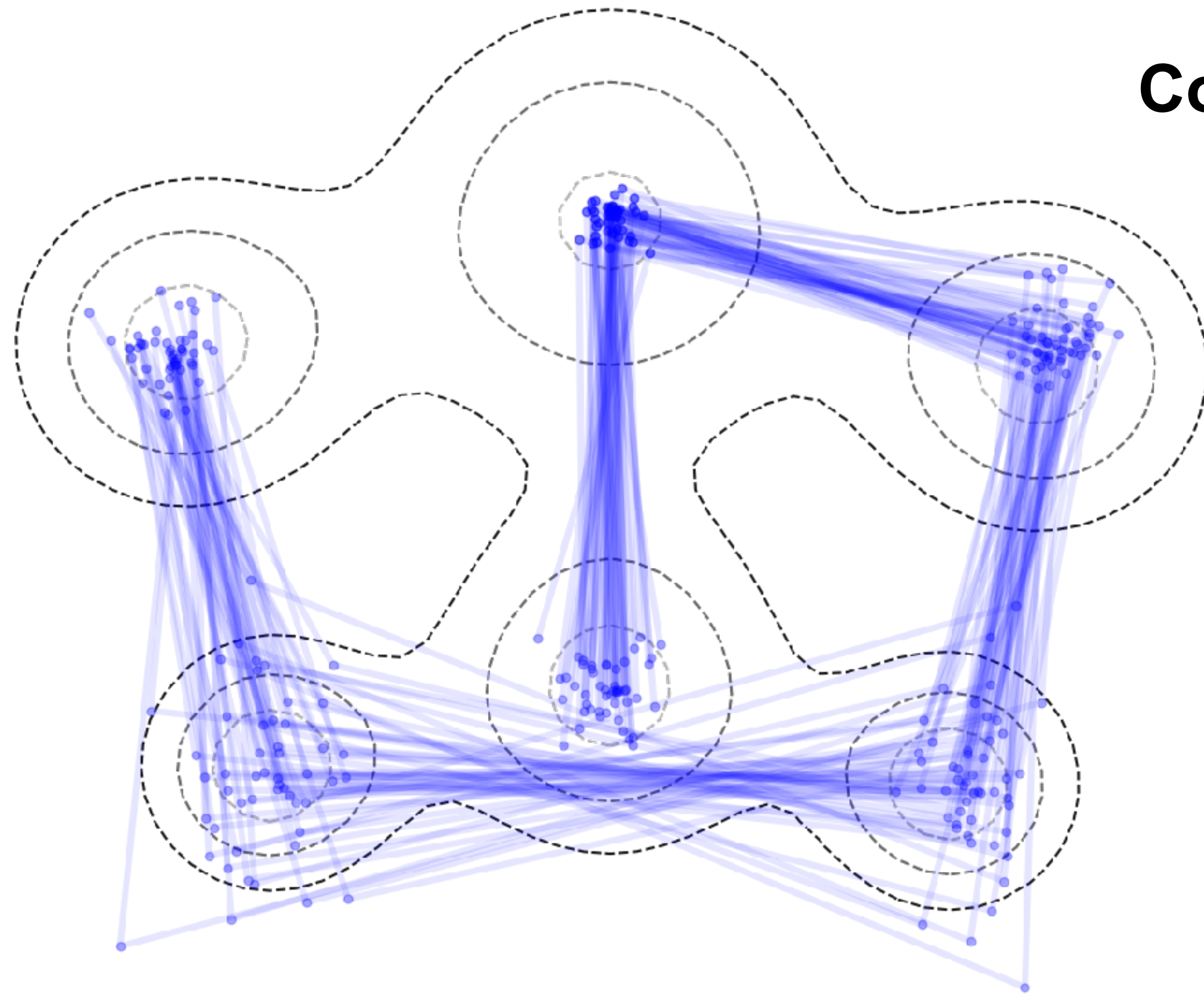
# Statistics on gradient vectors



**Average amplitude of gradient  
vector of each Gaussian indicates  
local flexibility**

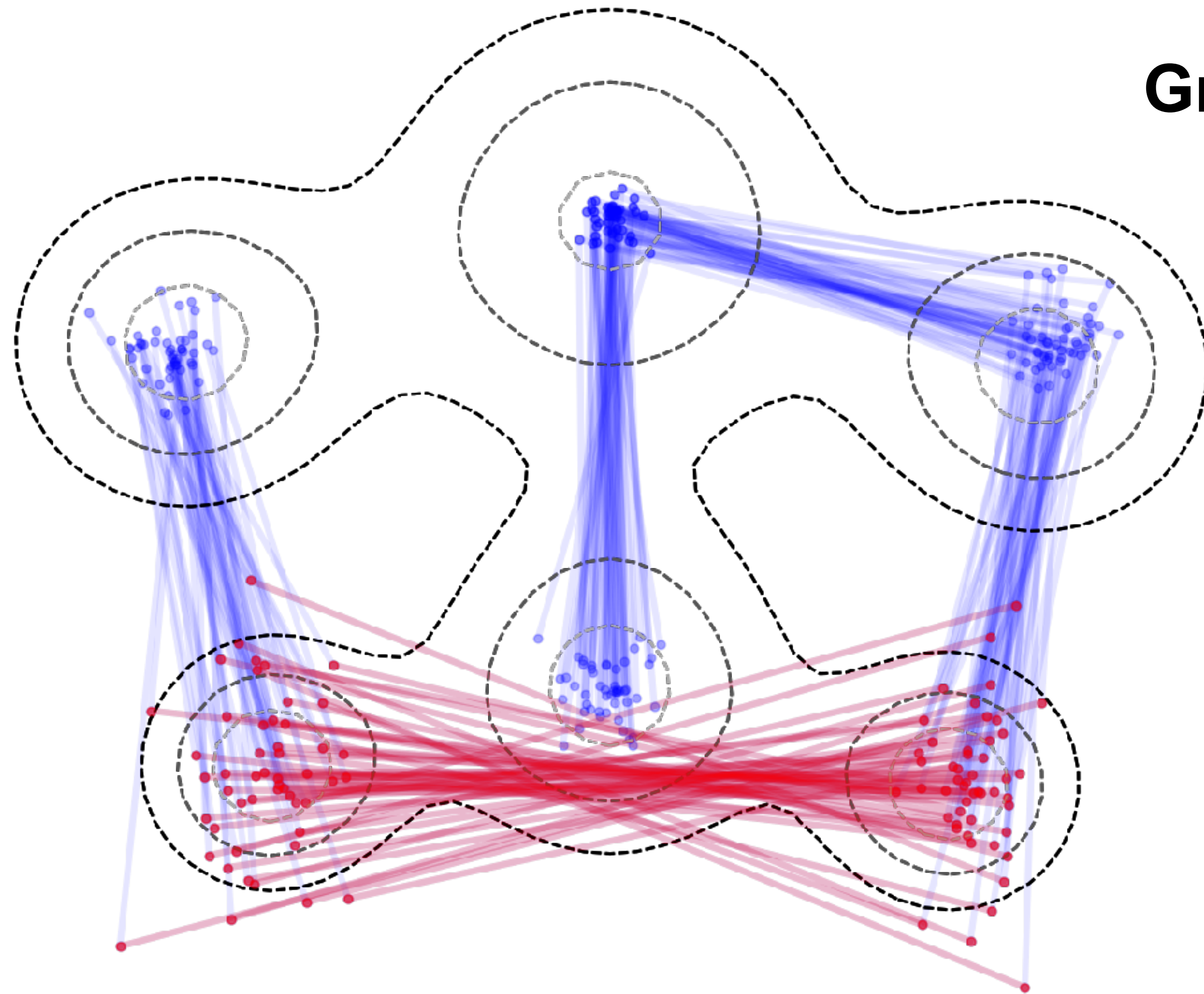


# Statistics on gradient vectors



**Connect gradient vectors from the  
same particle**

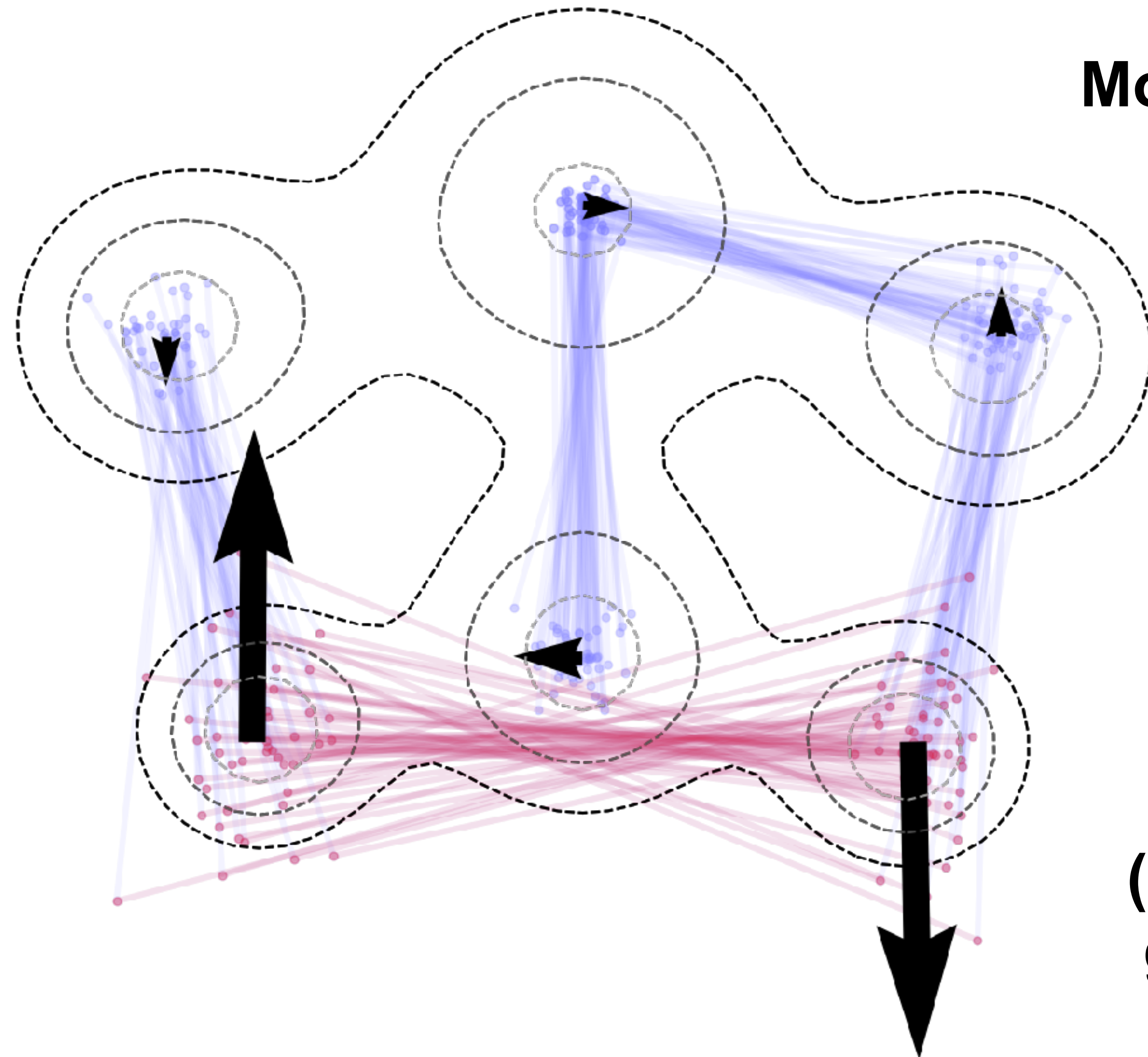
# Statistics on gradient vectors



**Gradient vectors from each image  
are correlated**



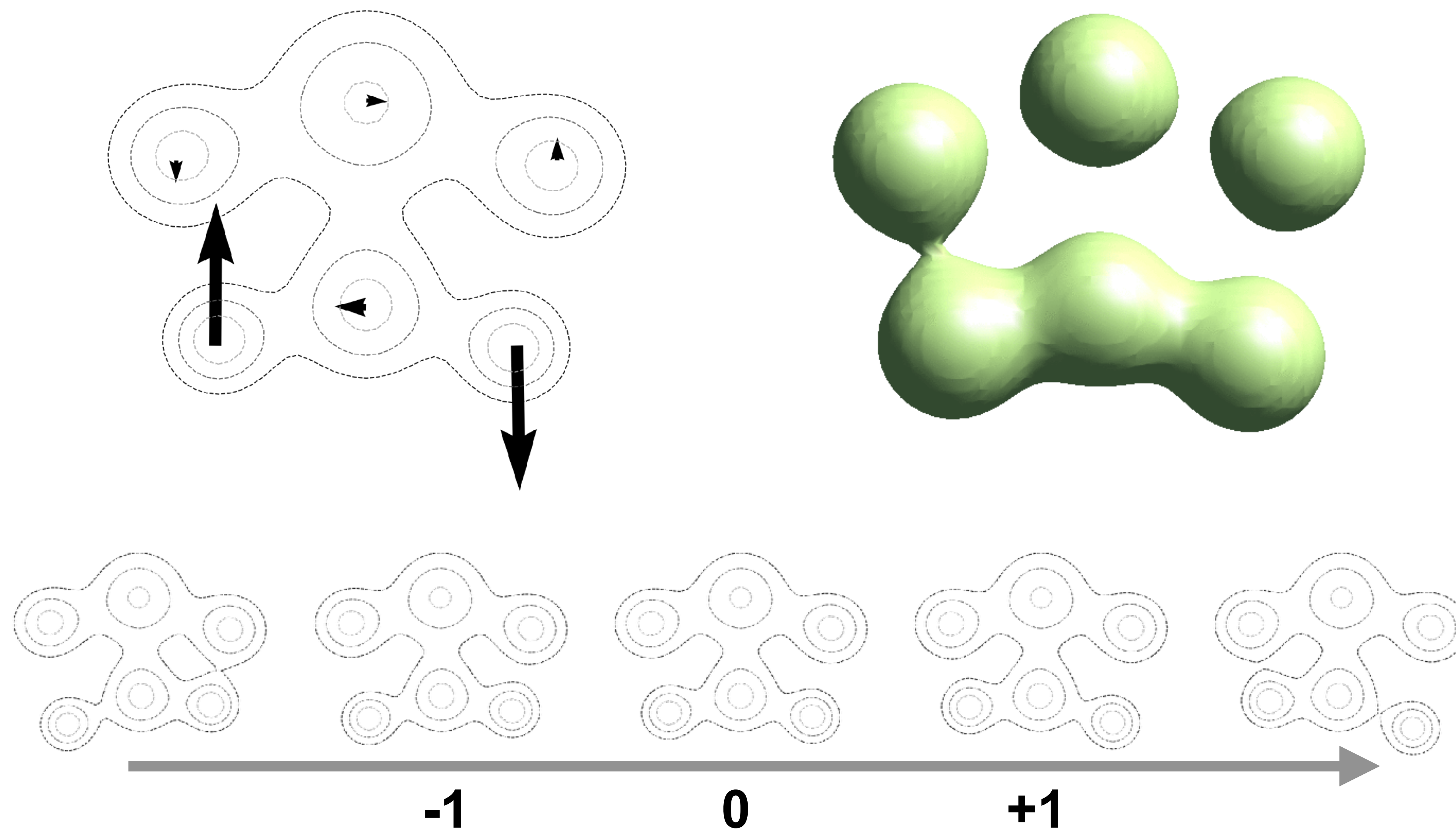
# PCA extracts eigen-motion vectors of the system



**Most particles span on this motion trajectory**

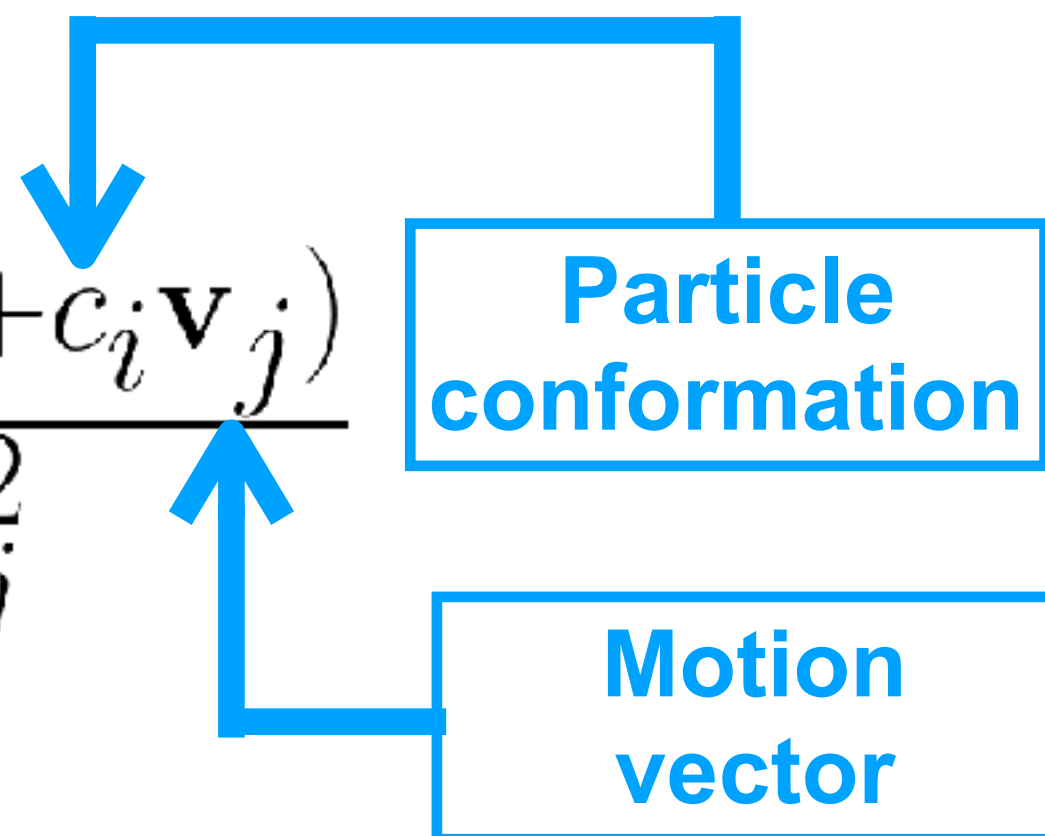
**This is one vector of length (#Gaussian x 3), which shows a global motion of all Gaussians**

# Eigen-motion trajectory





# Map each particle on the motion trajectory

$$map_i(\mathbf{x}) = \sum_{j=0}^n A_j e^{-\frac{\mathbf{x} - (\mathbf{p}_j + c_i \mathbf{v}_j)}{\sigma_j^2}}$$


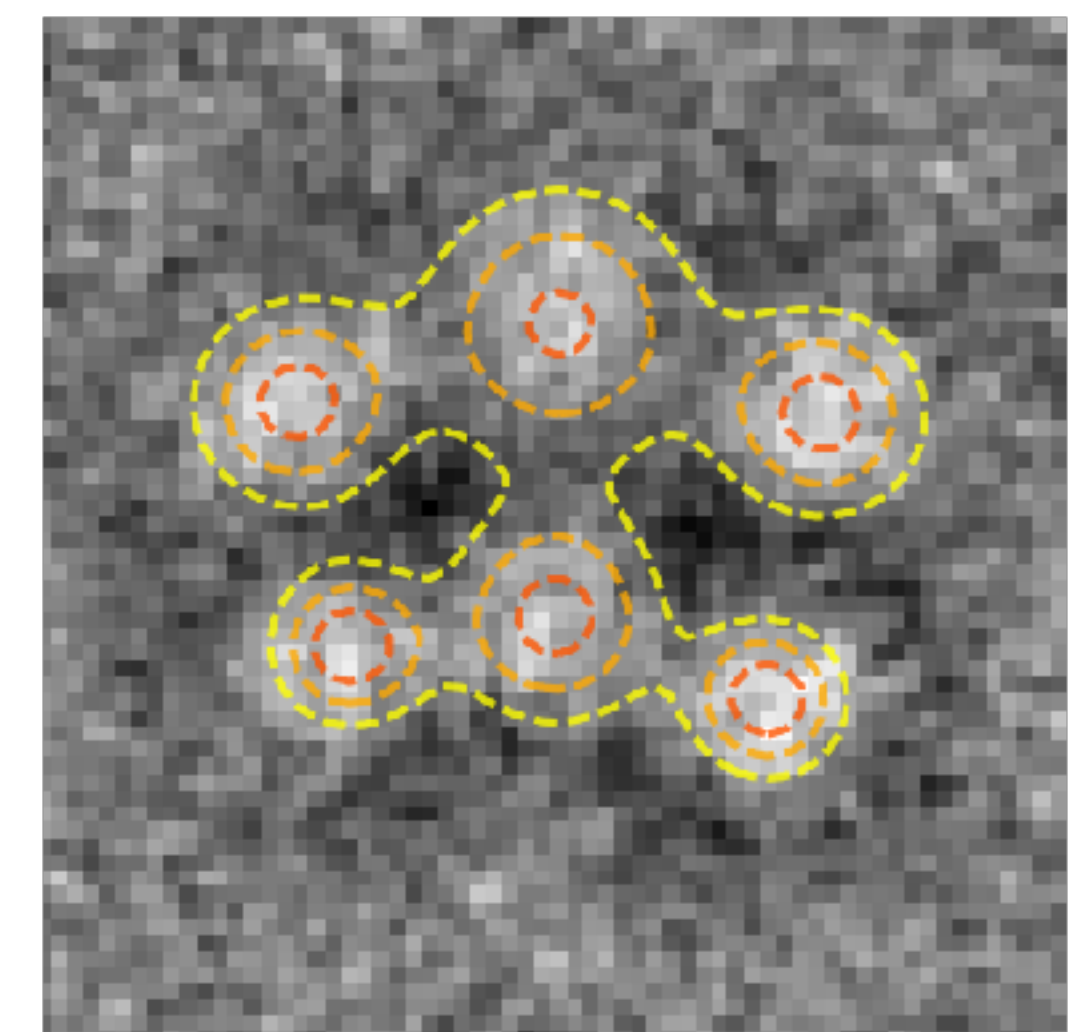
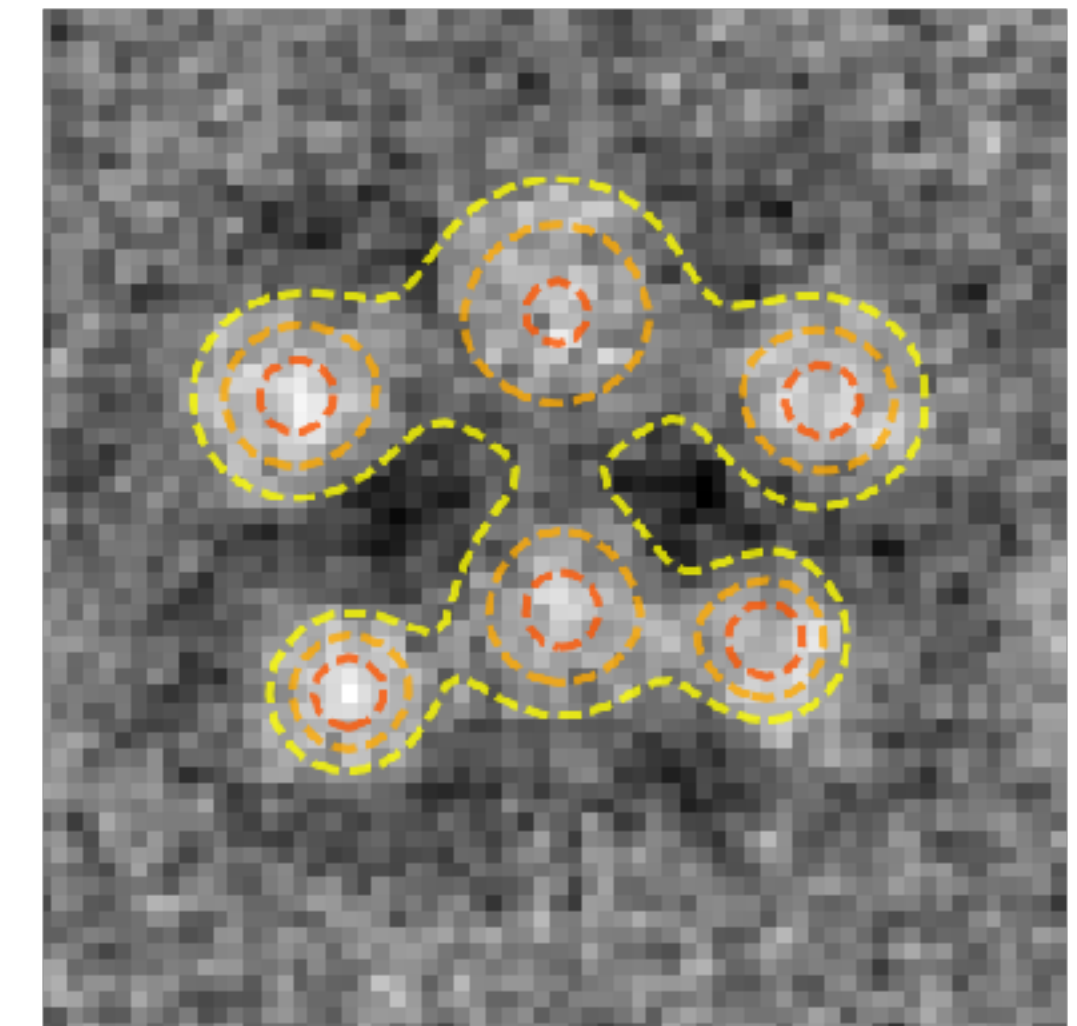
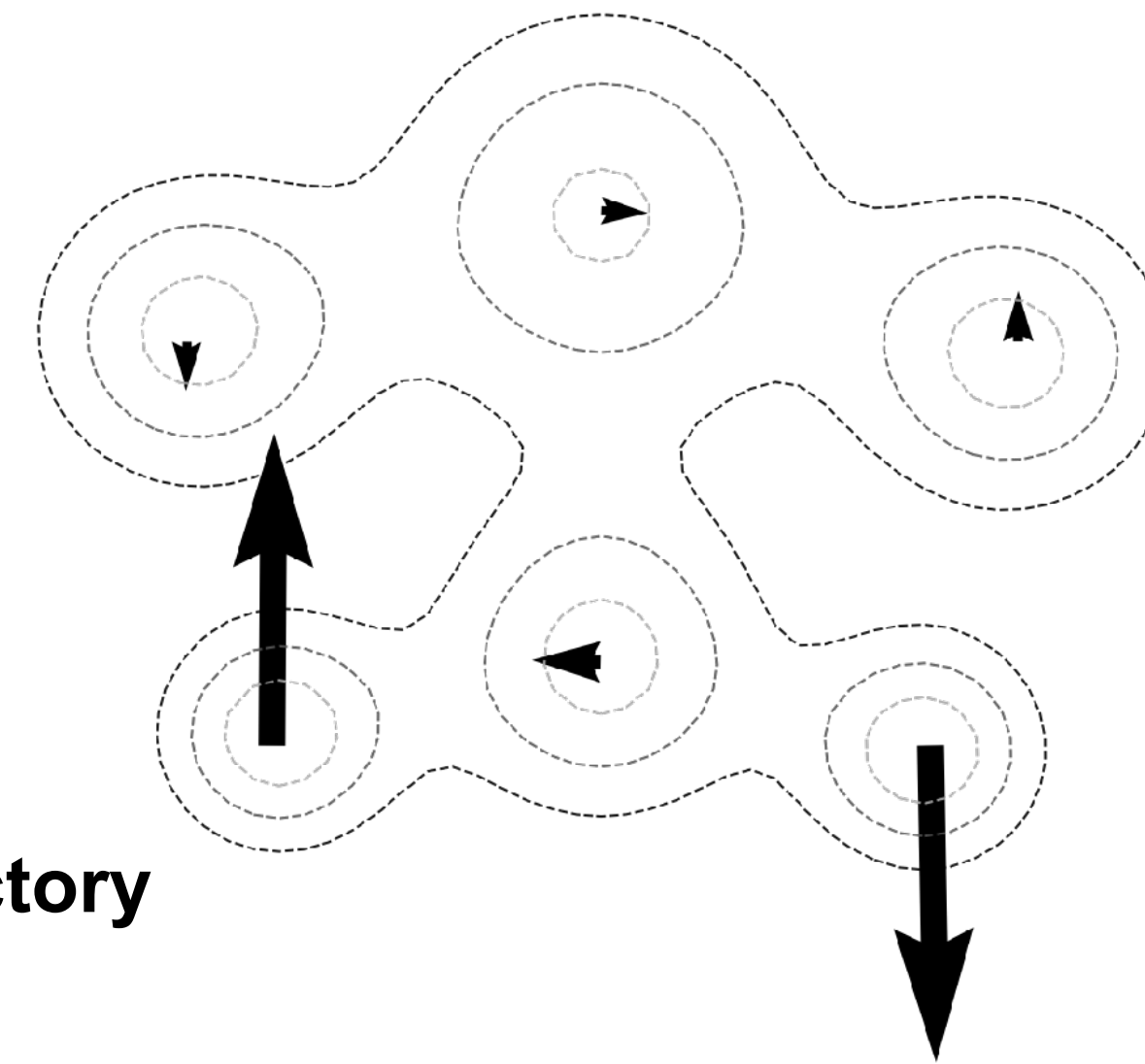
The diagram shows two blue boxes: 'Particle conformation' and 'Motion vector'. A blue arrow points from 'Particle conformation' to the term  $c_i \mathbf{v}_j$  in the numerator of the exponent. Another blue arrow points from 'Motion vector' to the same term. A third blue arrow points from the entire exponent term back to the 'Particle conformation' box.

$map_i(\mathbf{x})$  : Density map corresponding to the  $i$ th particle

$A_j, \mathbf{p}_j, \sigma_j$ : Amplitude, position, sigma of the  $j$ th Gaussian

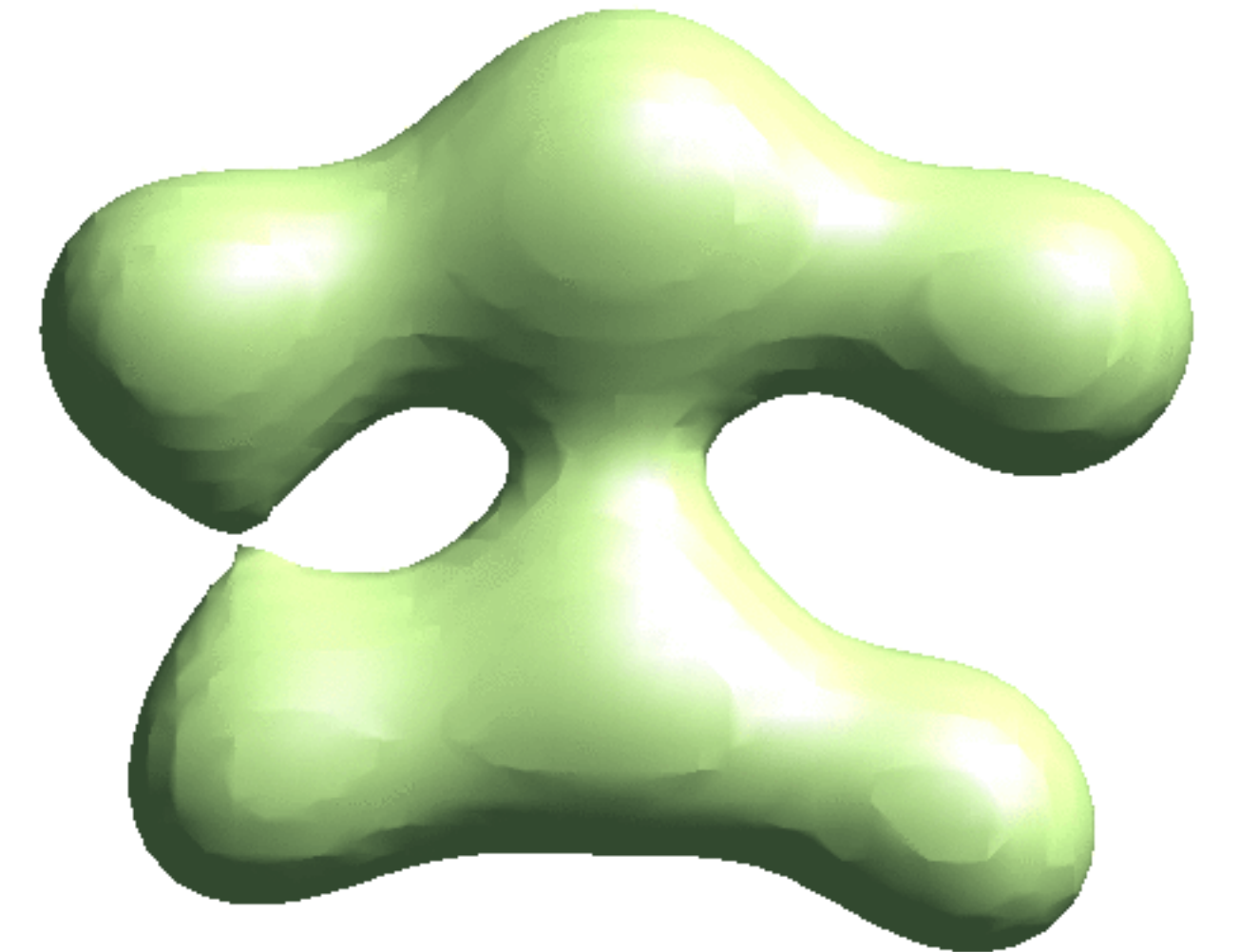
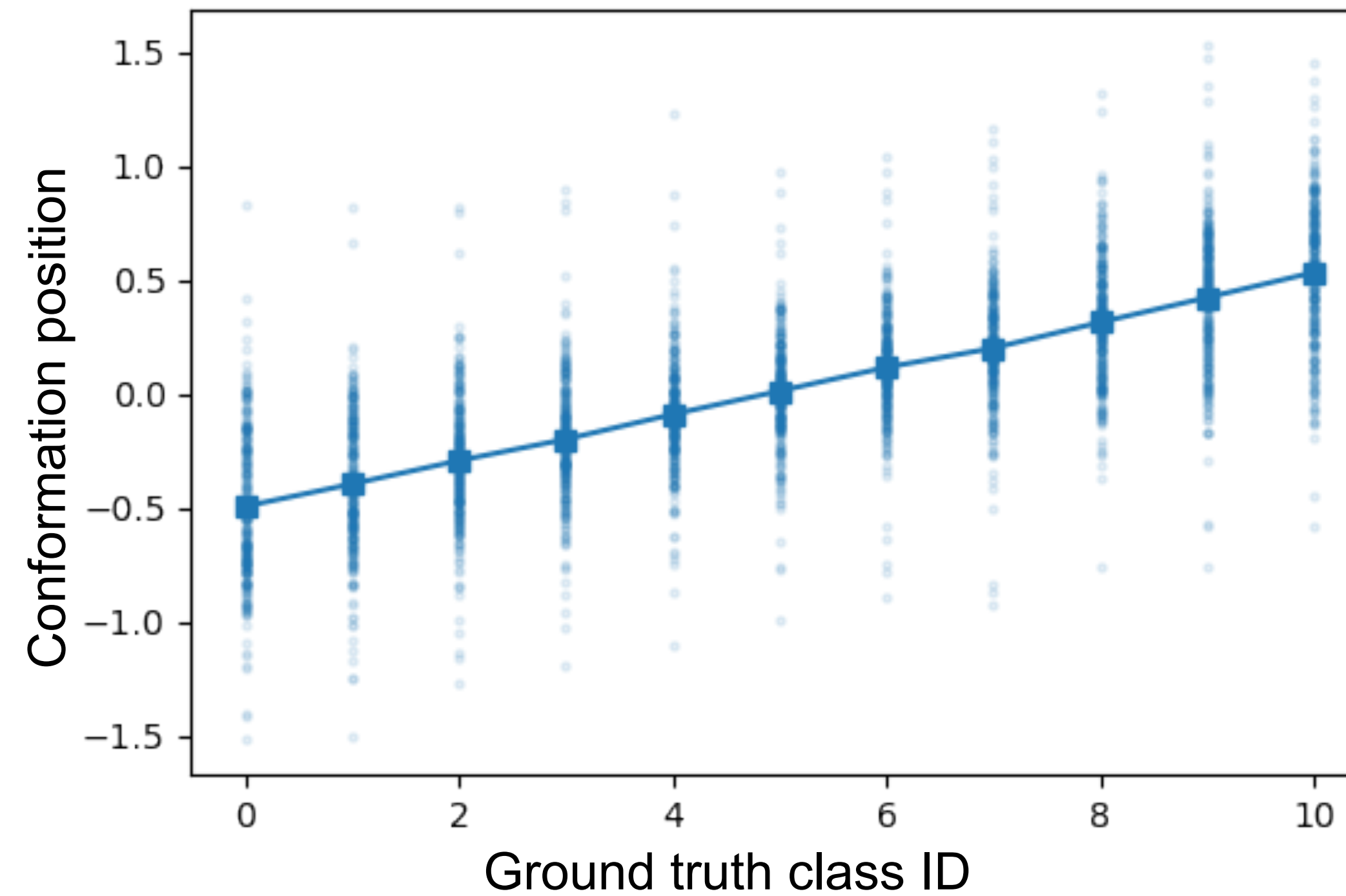
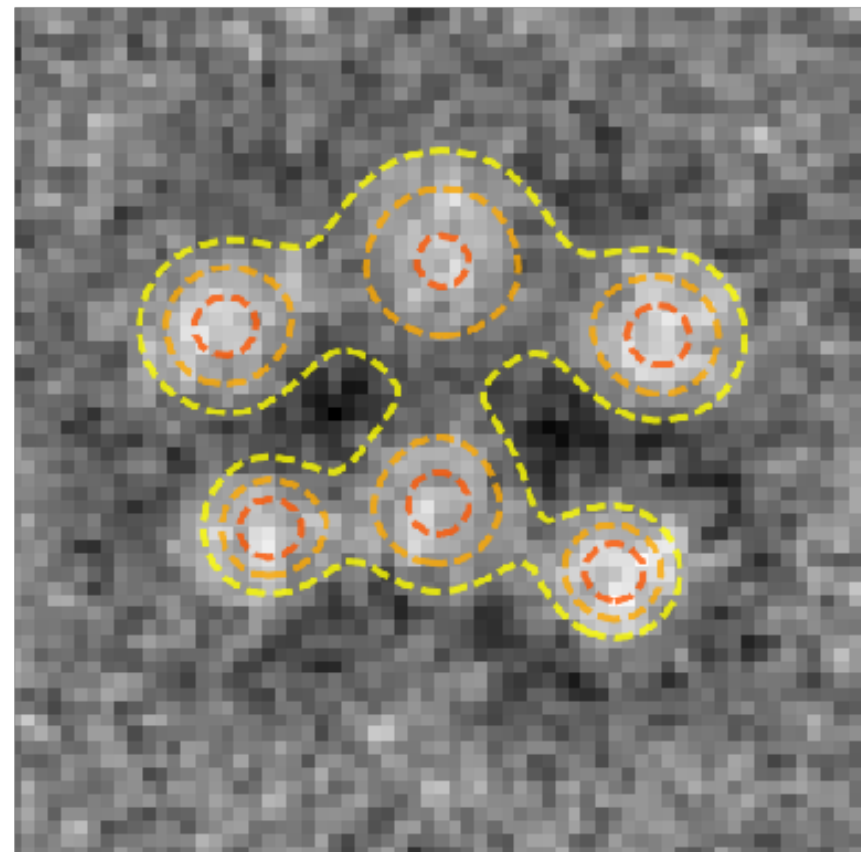
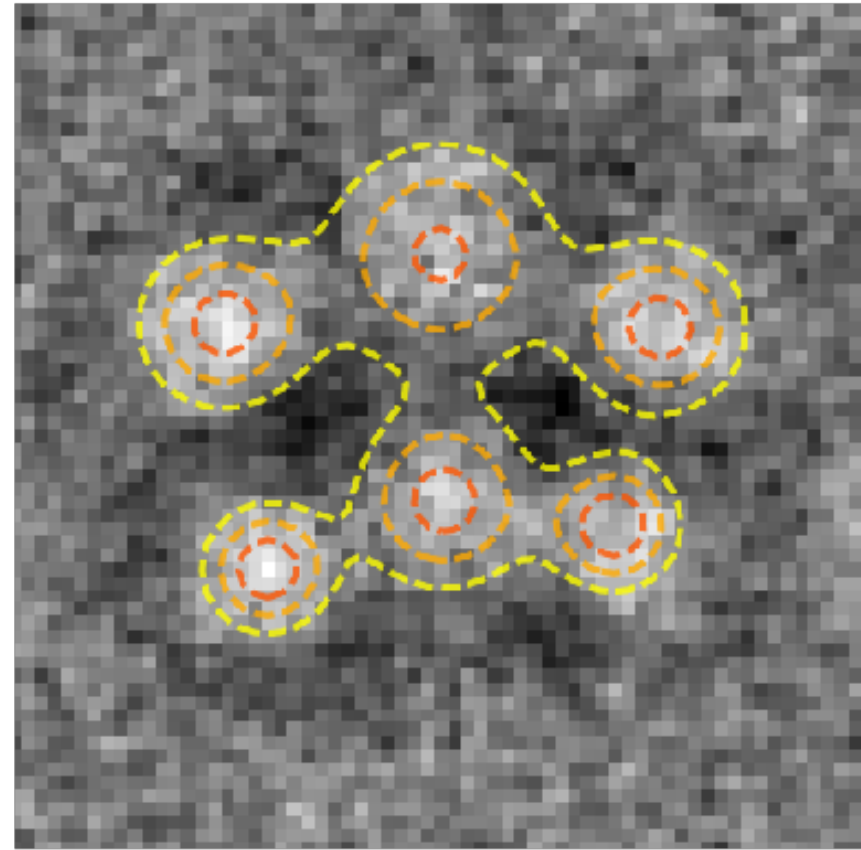
$\mathbf{v}_j$  : Eigen-motion vector of the  $j$ th Gaussian

$c_i$  : Conformation position of the  $i$ th particle on the motion trajectory



For each particle, optimize  $c_i$  with gradient descent

# Reconstruct 3D map with particles of similar conformations

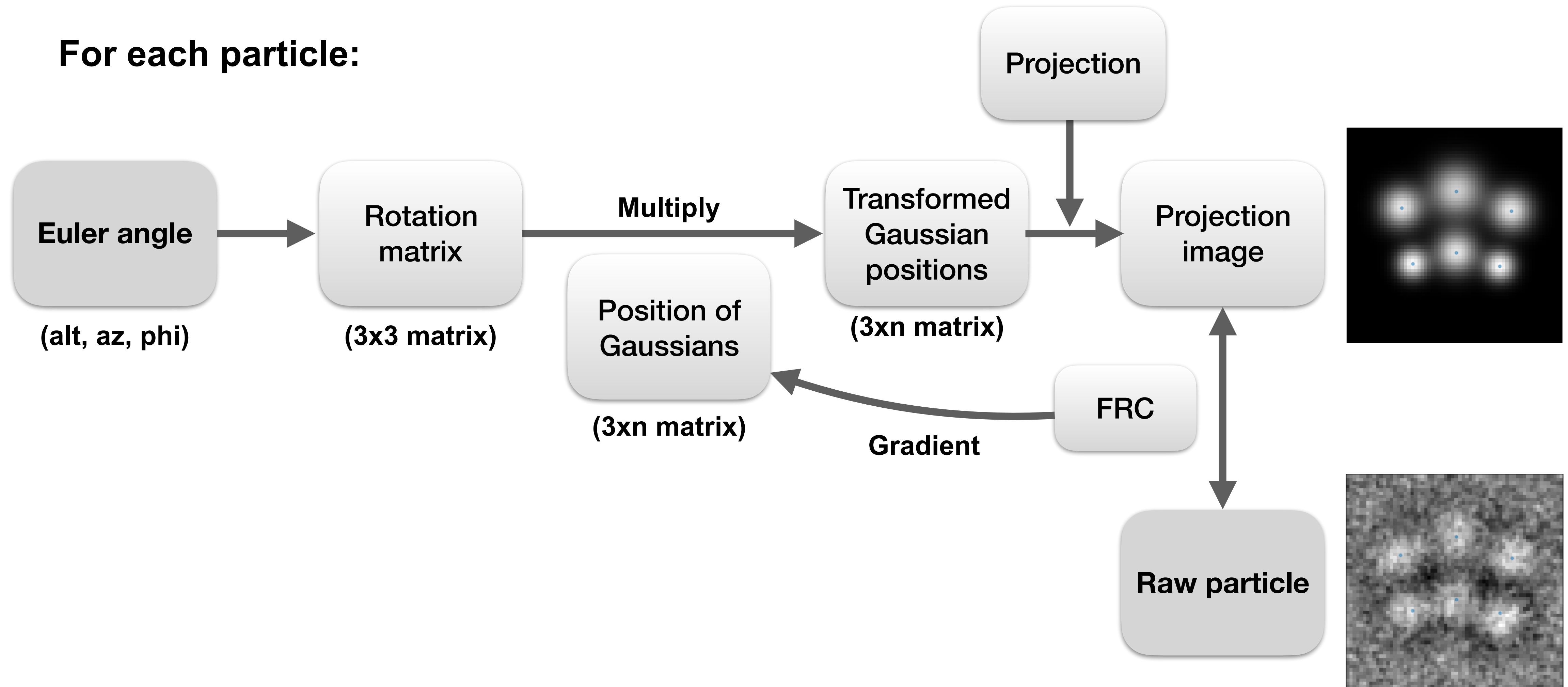


planar motion in this simulated dataset (invisible at z direction) exaggerates top view error...



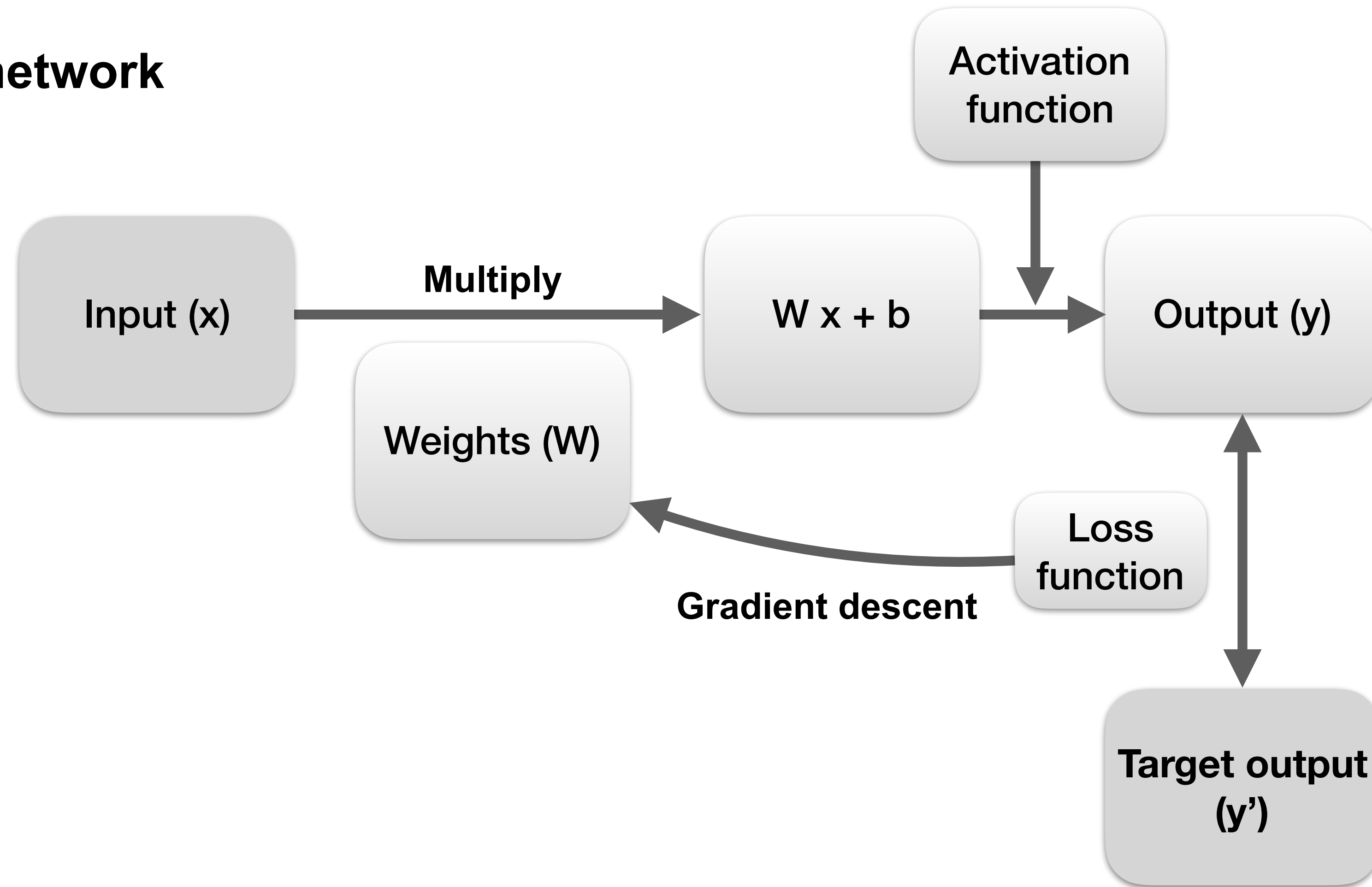
# Relationship with neural network

For each particle:



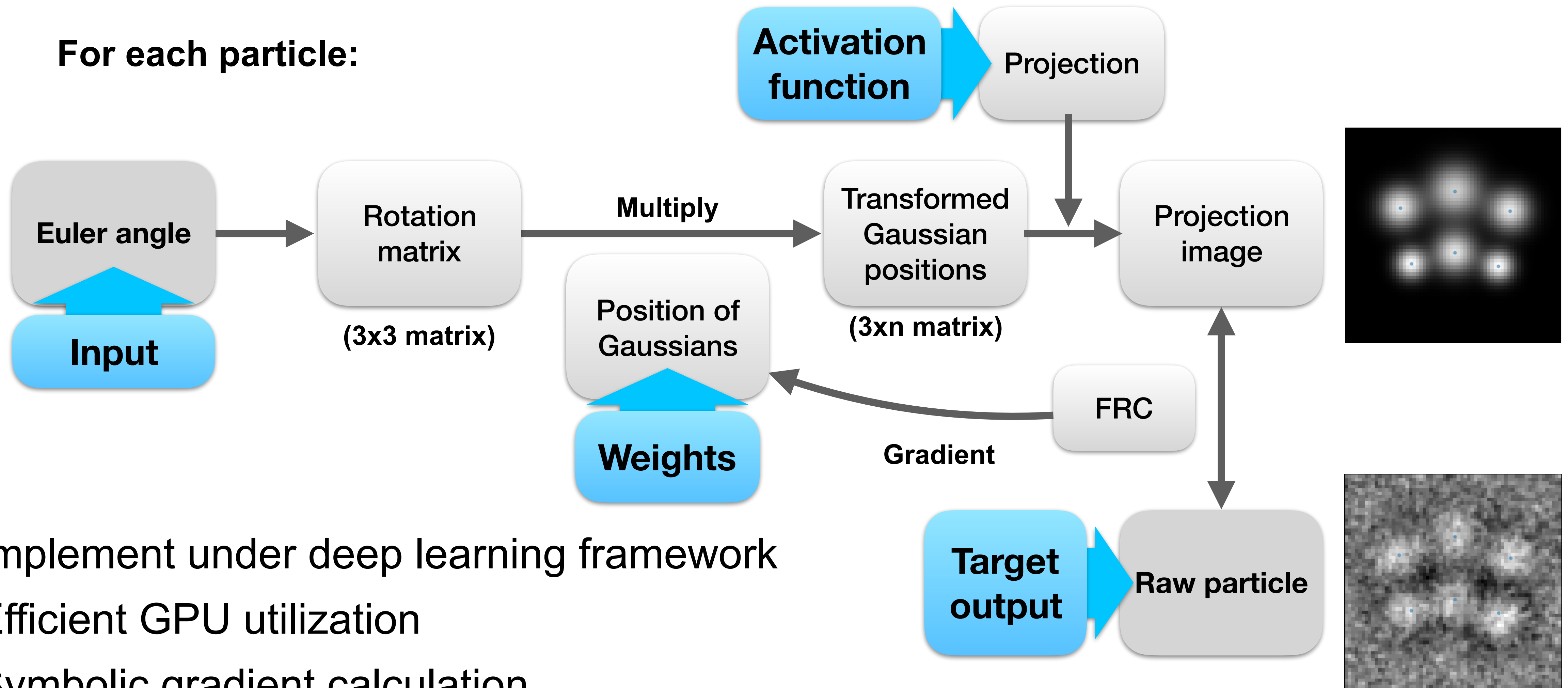
# Relationship with neural network

## One layer neural network





# Relationship with neural network

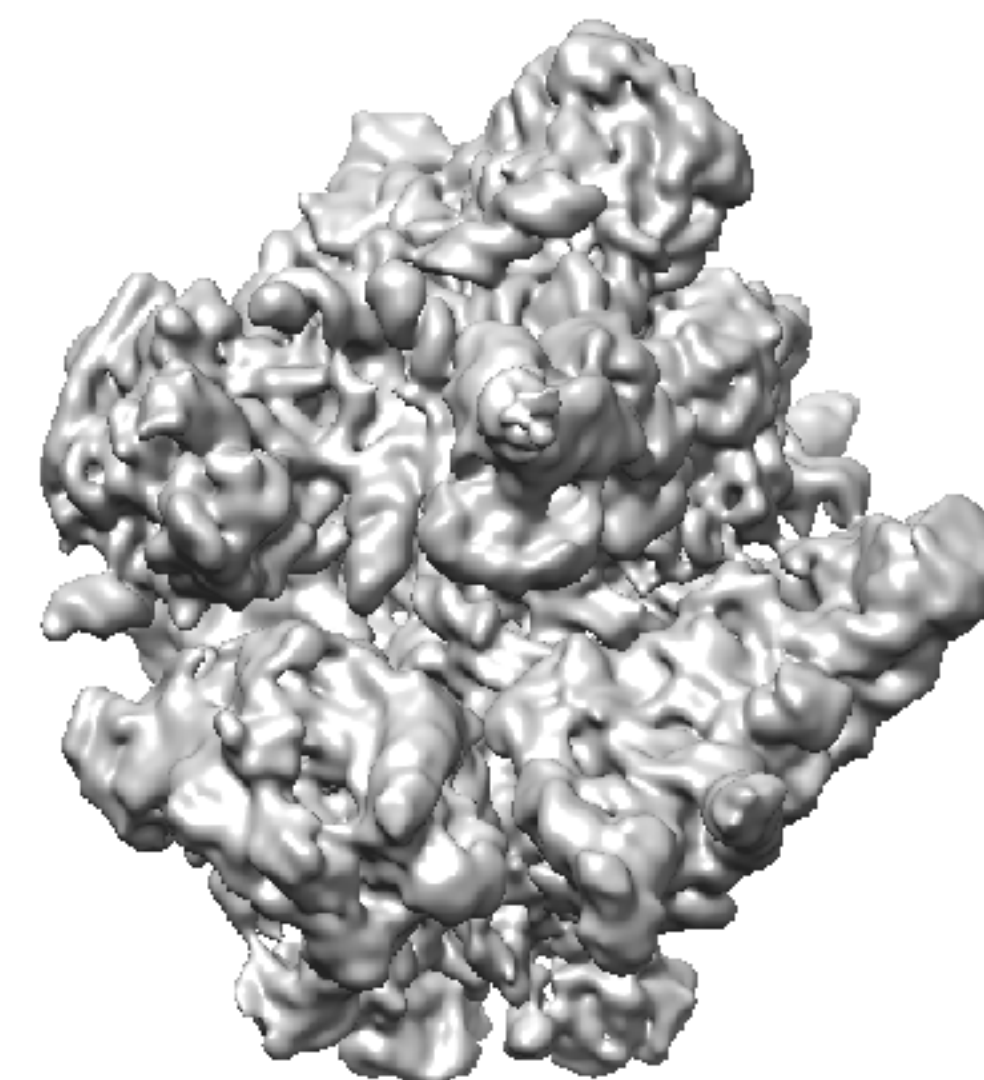
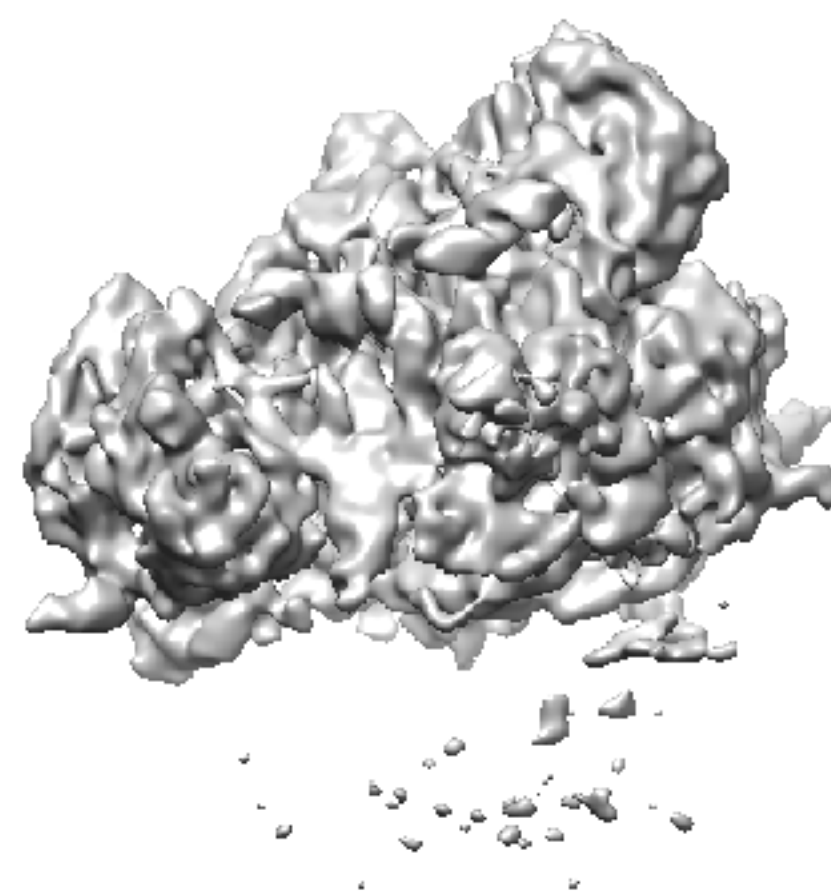
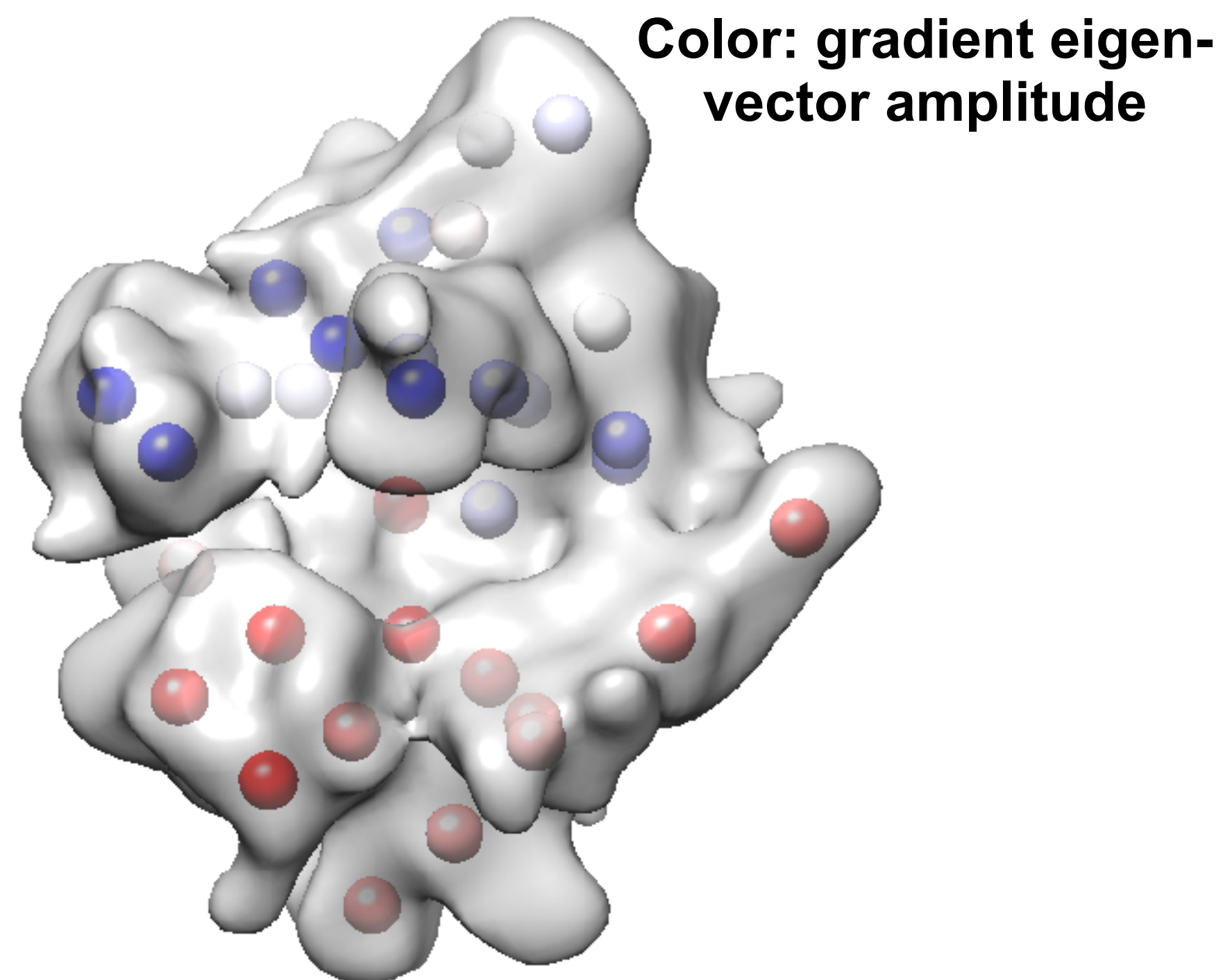


- Implement under deep learning framework
- Efficient GPU utilization
- Symbolic gradient calculation

**Performance on real examples**



# Ribosome (EMPIAR-10107)



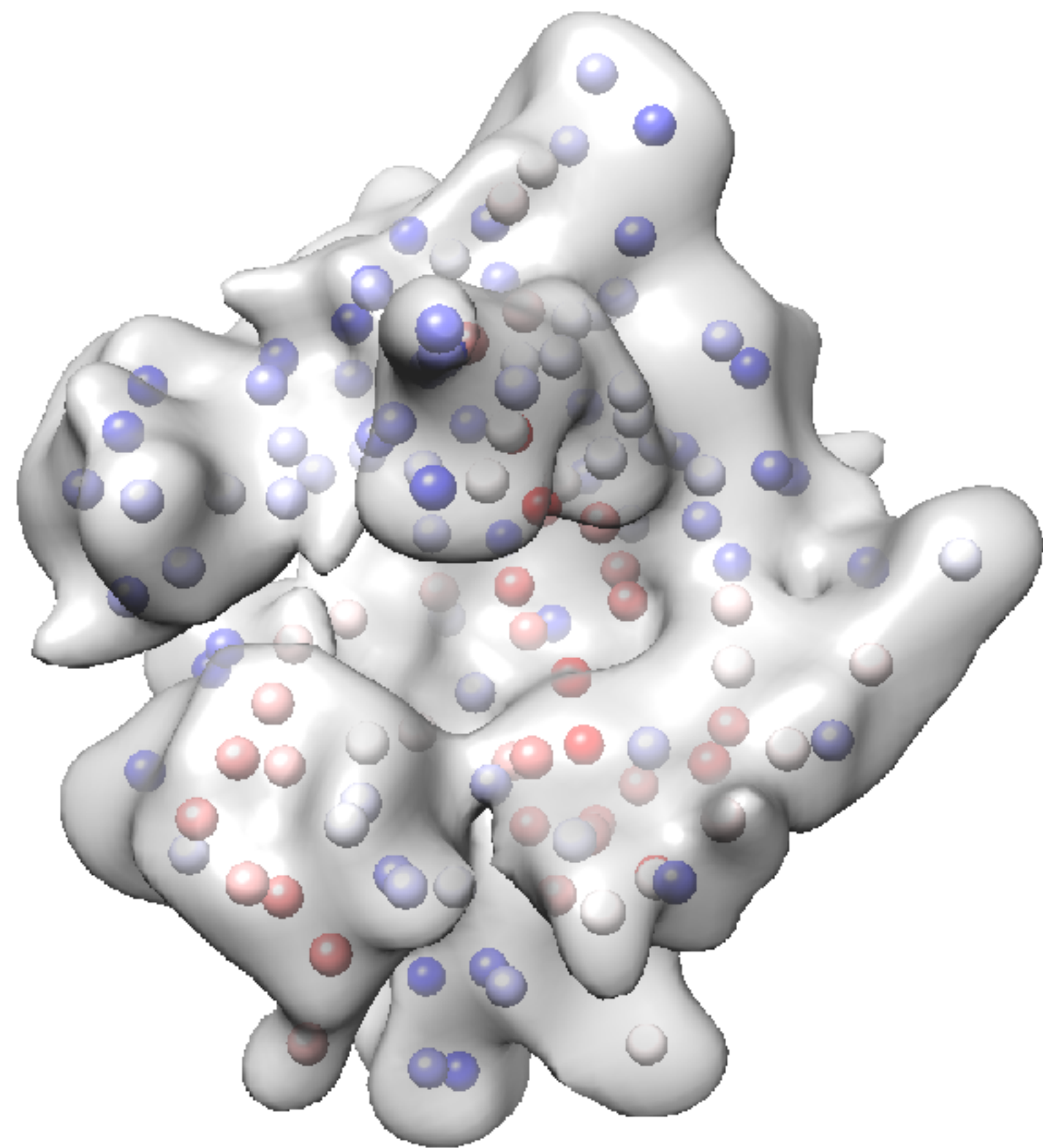
$$\text{Grad}_j = \frac{\partial FRC}{\partial A_j}$$

**Compositional heterogeneity (50Å, 64 Gaussians):  
gradient with respect to amplitude of each Gaussian**

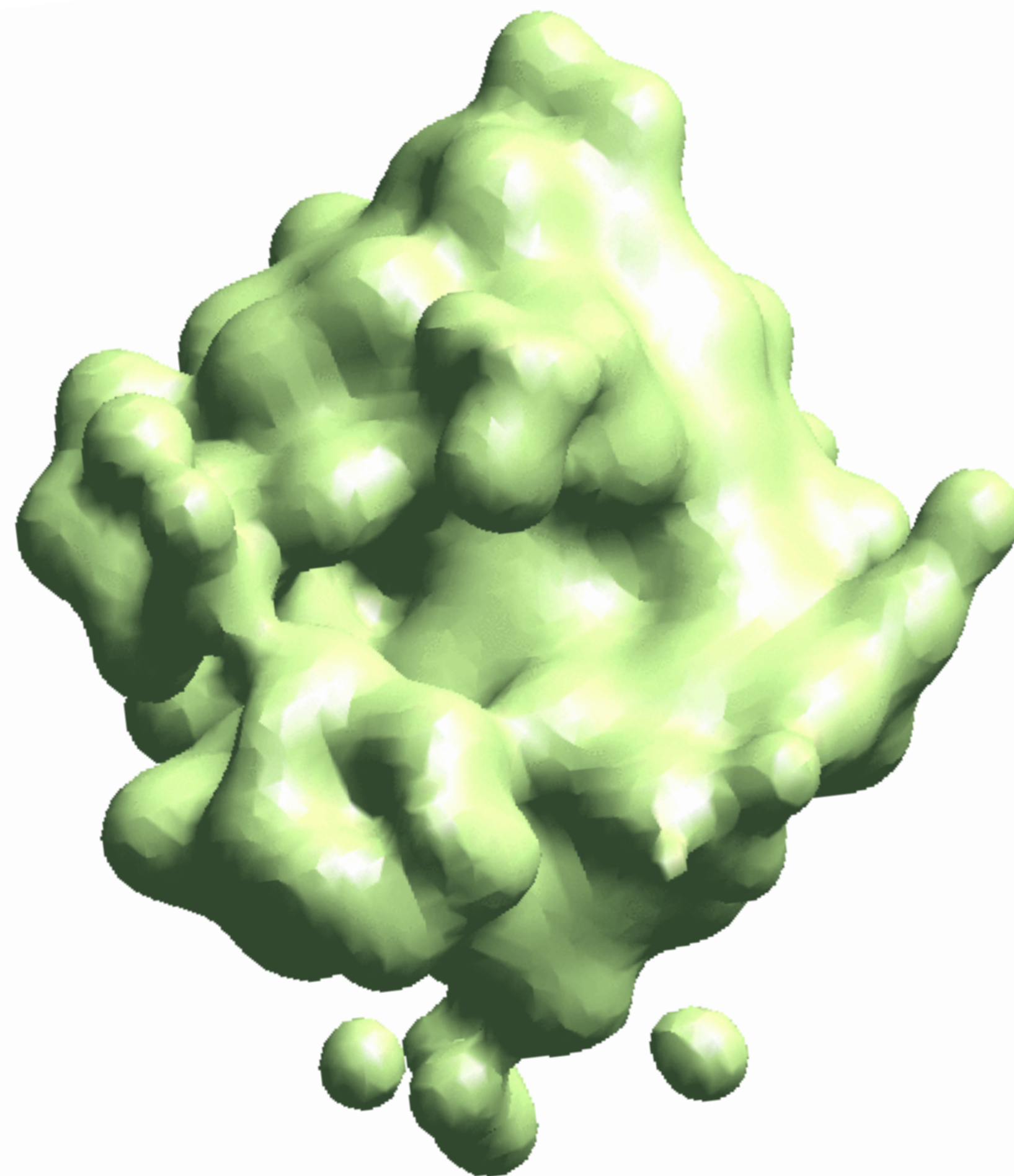


# Ribosome (EMPIAR-10107)

Conformational heterogeneity (40Å, 128 Gaussians)



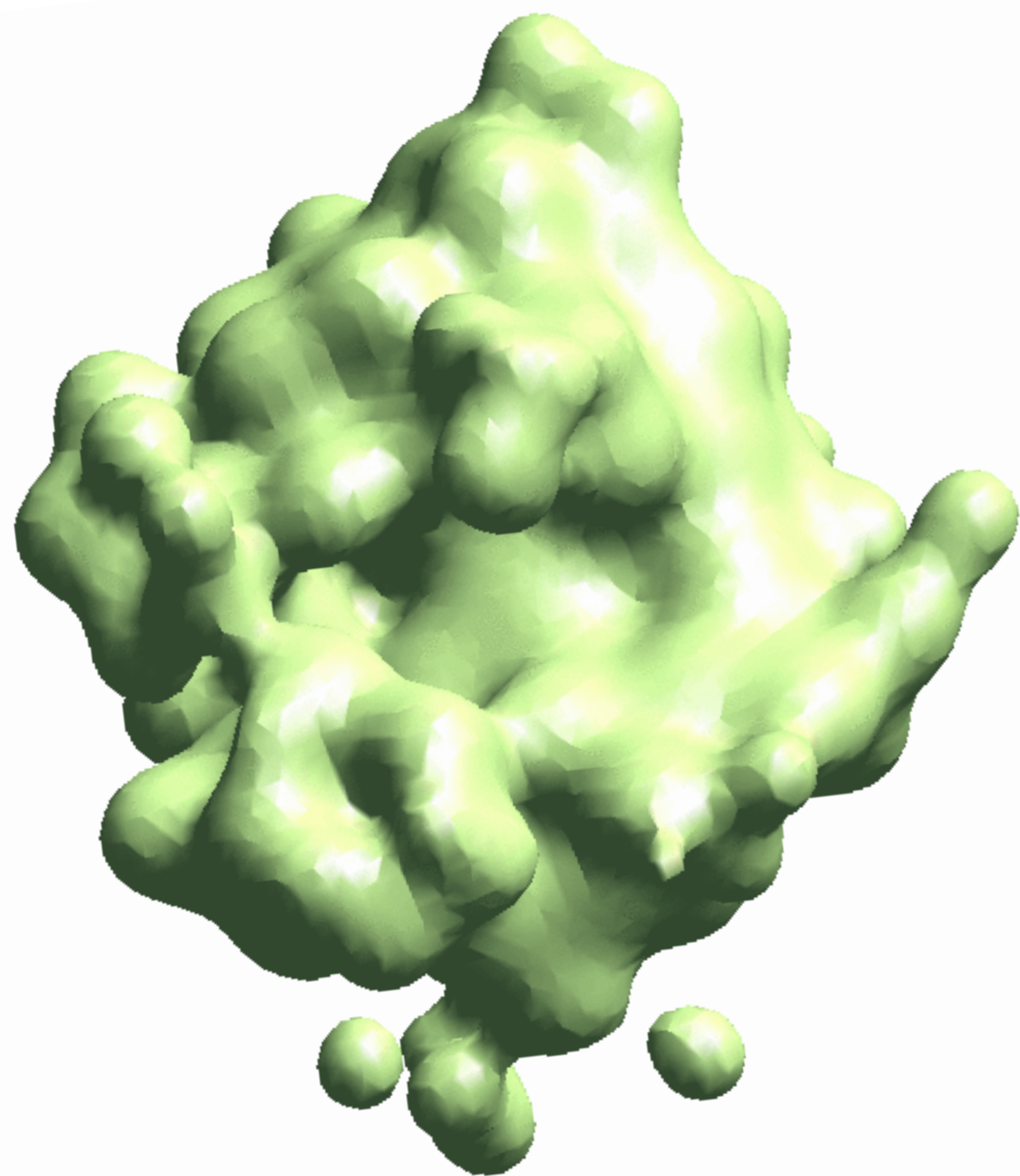
Color: eigen-motion amplitudes



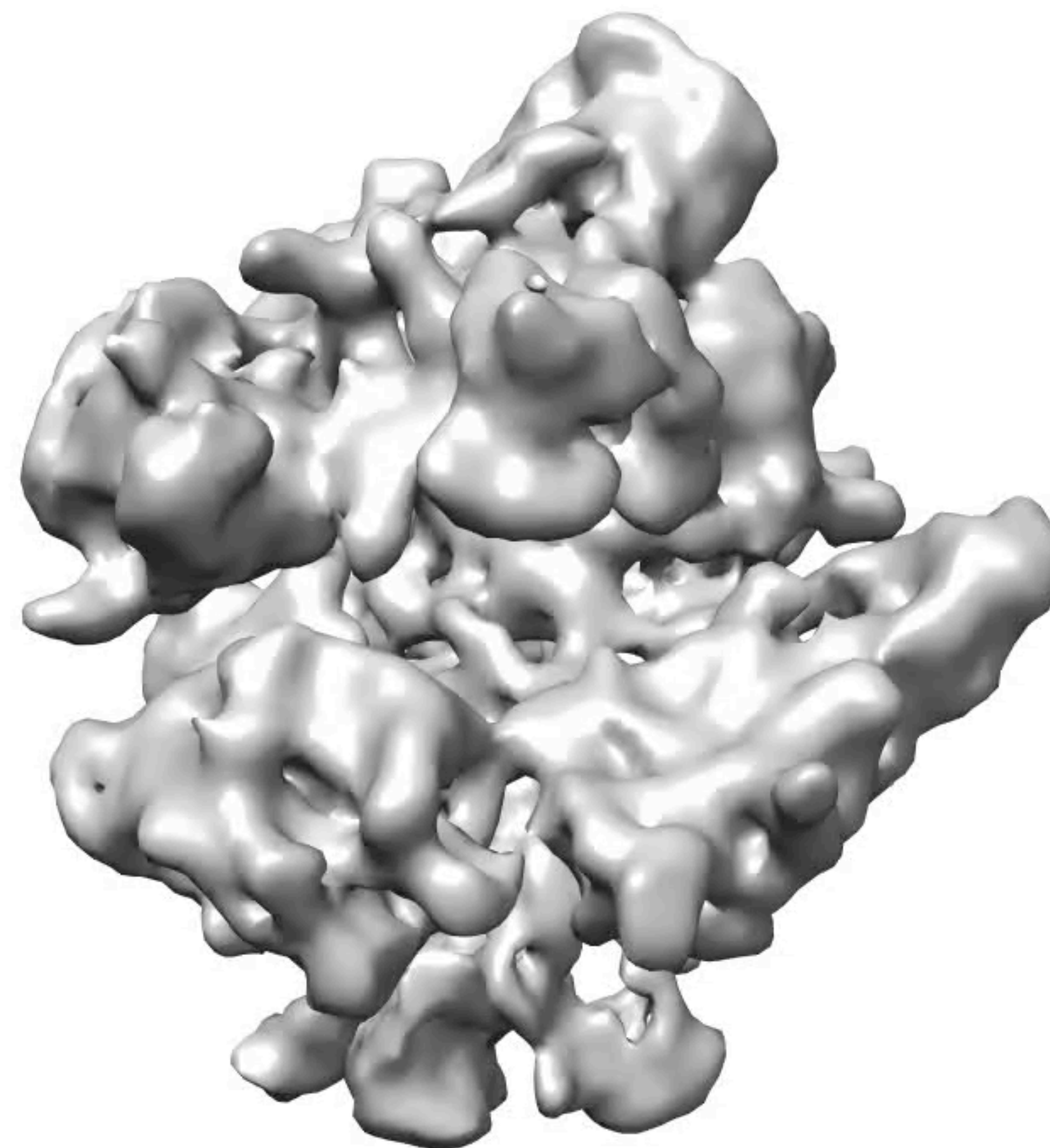
Gaussian model



# Global motion



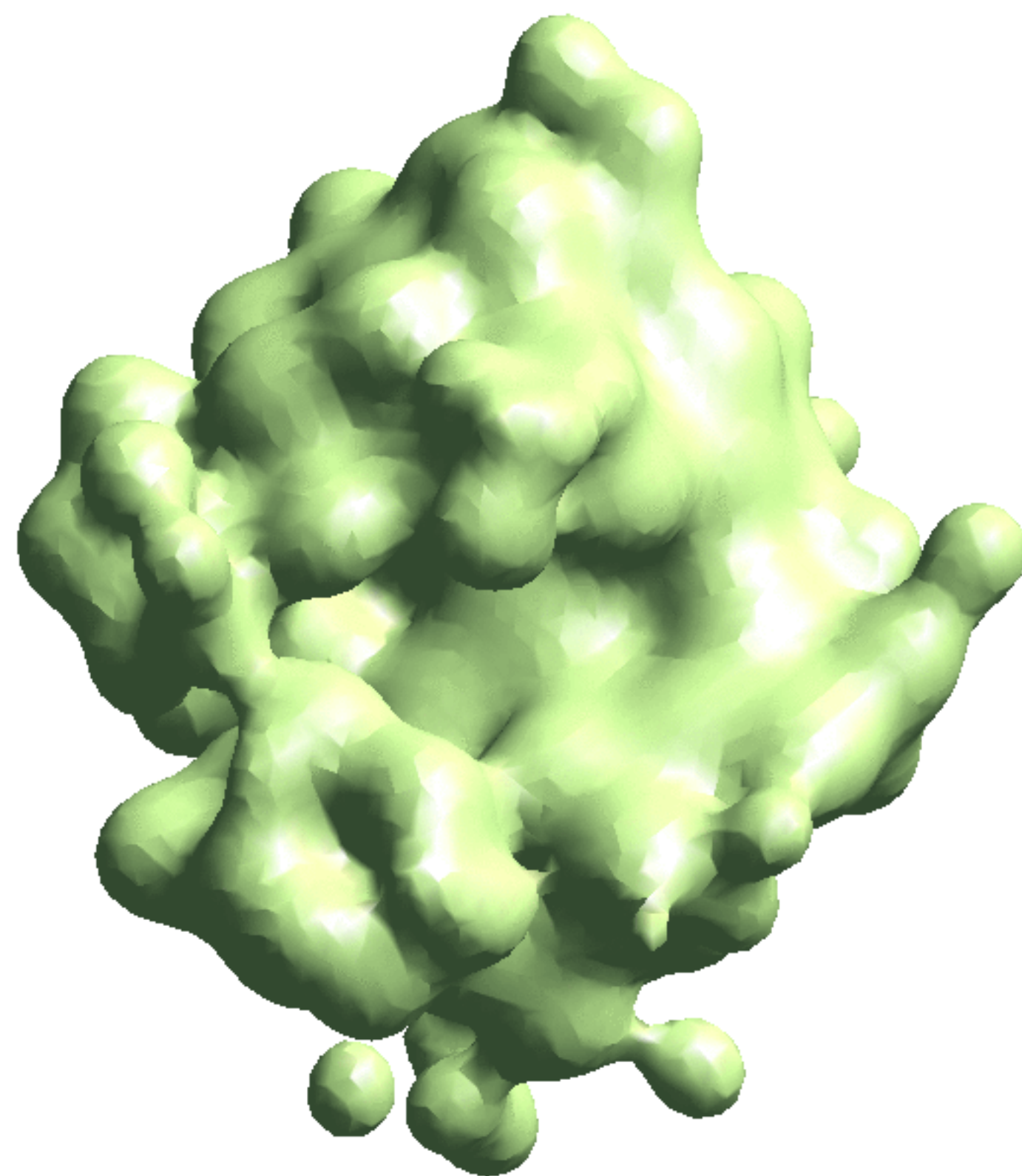
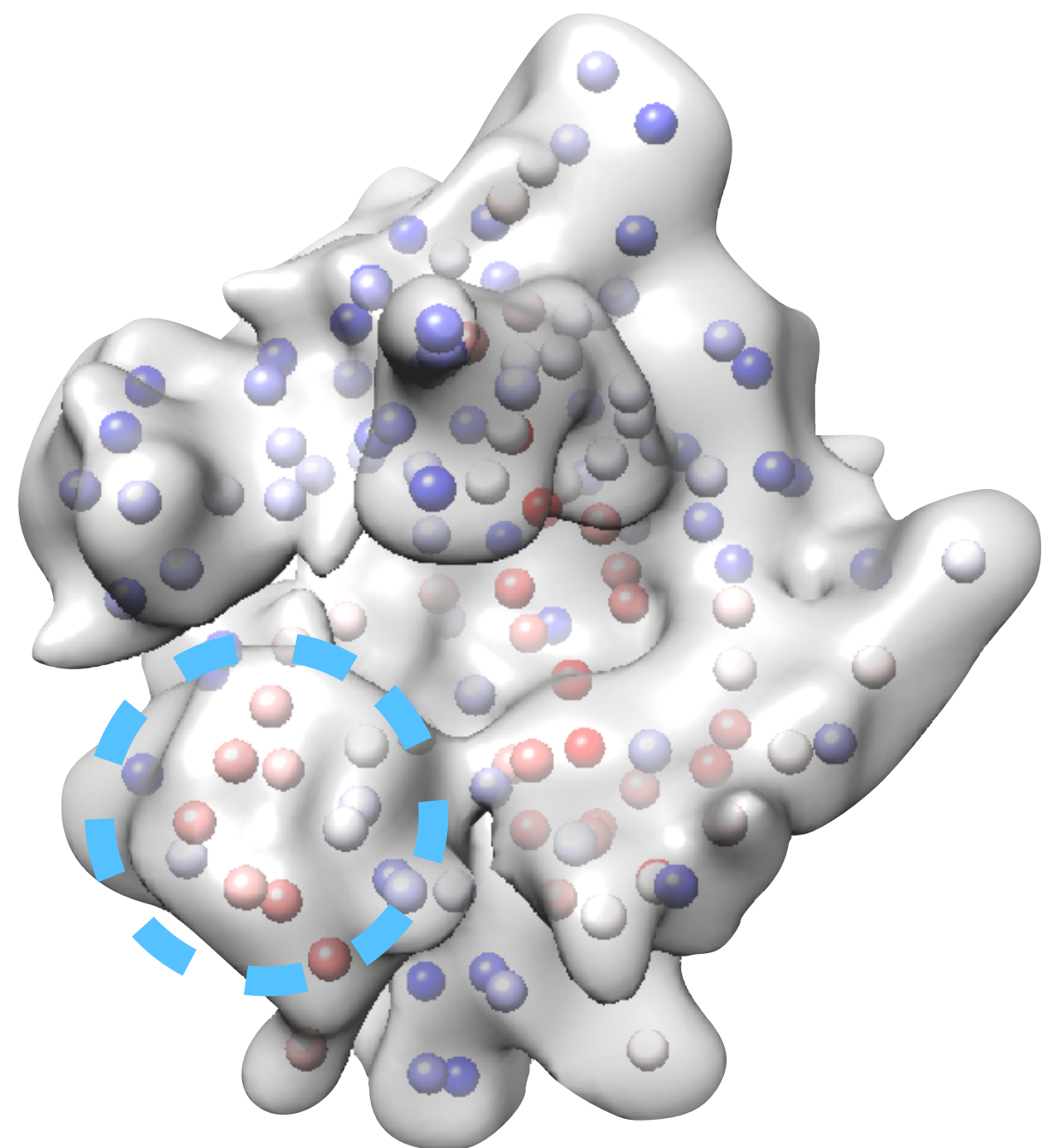
**Gaussian model**



**Reconstructed maps from  
classified particles**

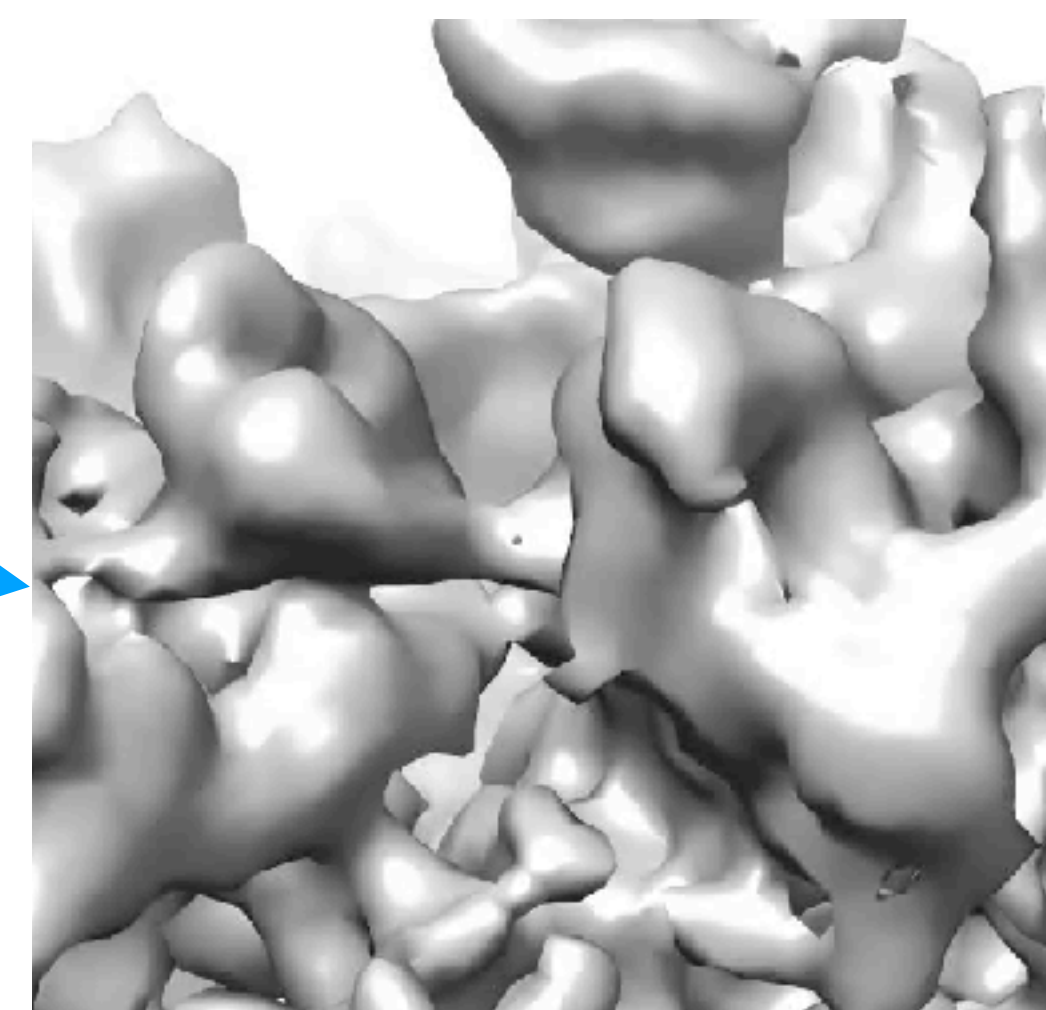
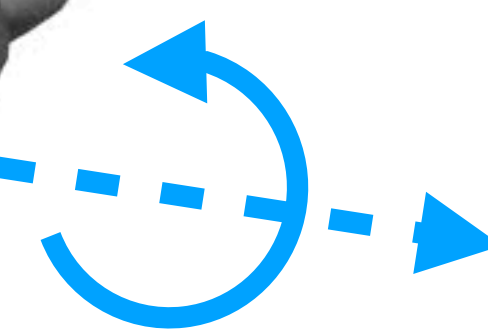
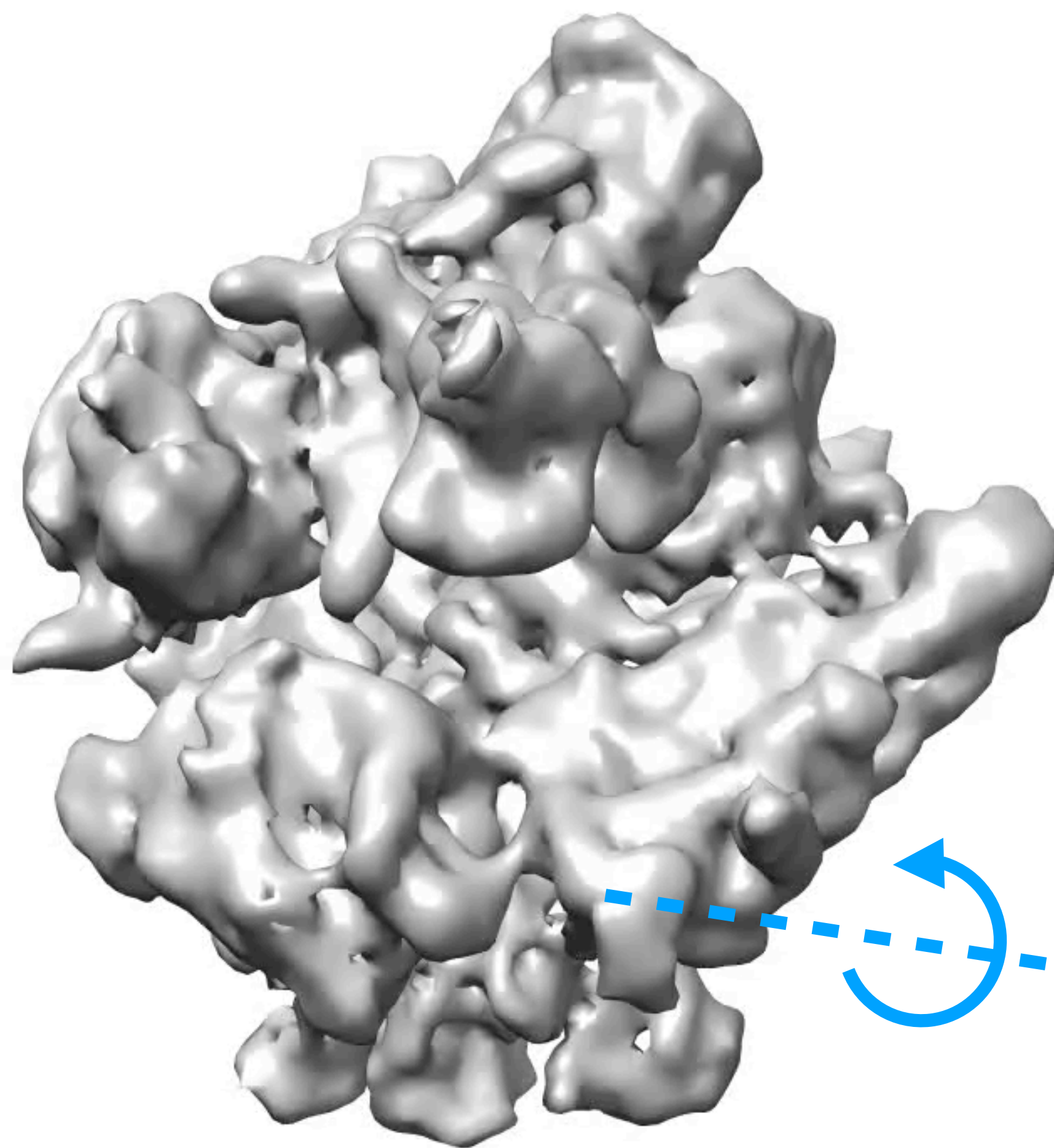
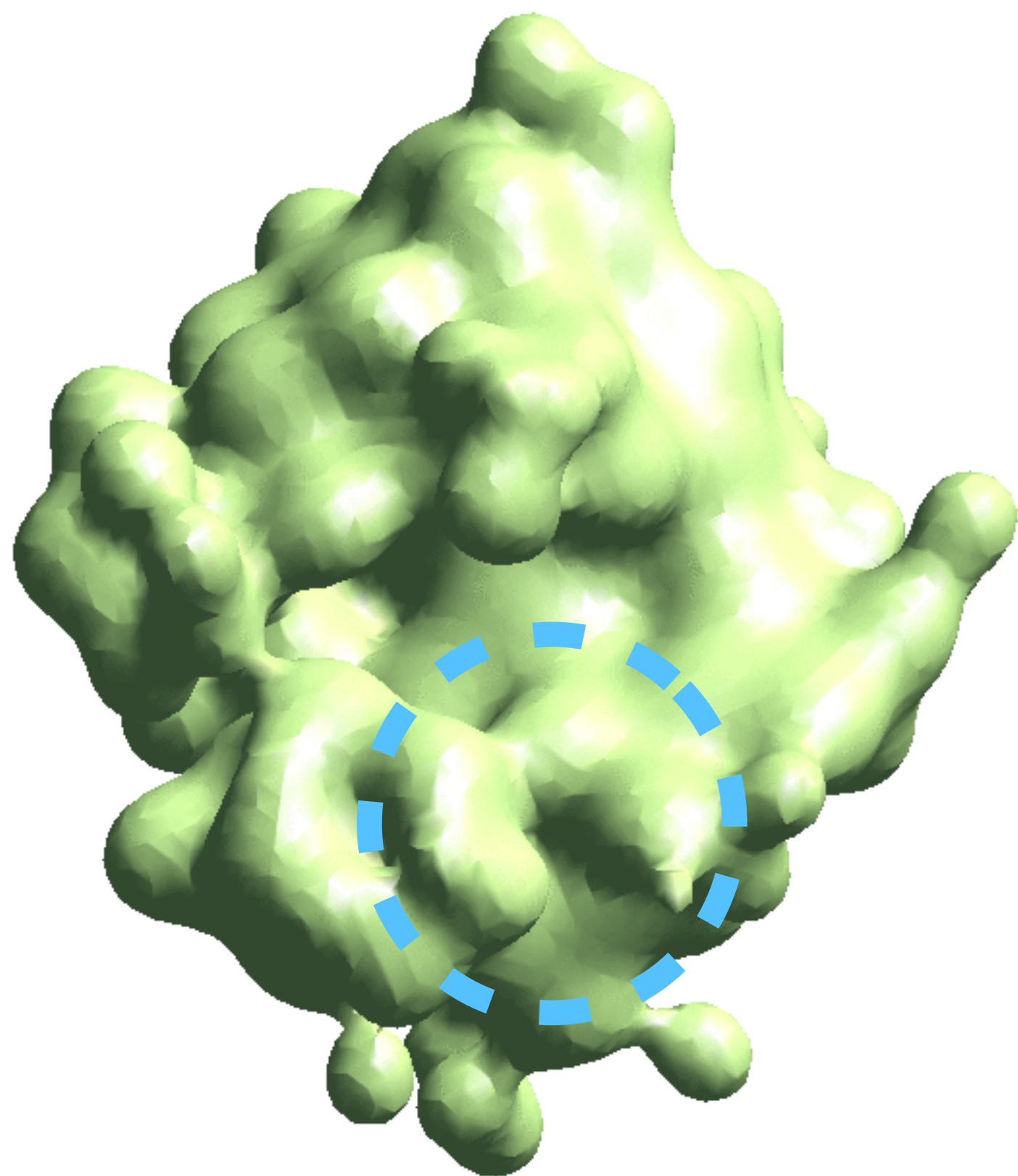


# Focus on local regions



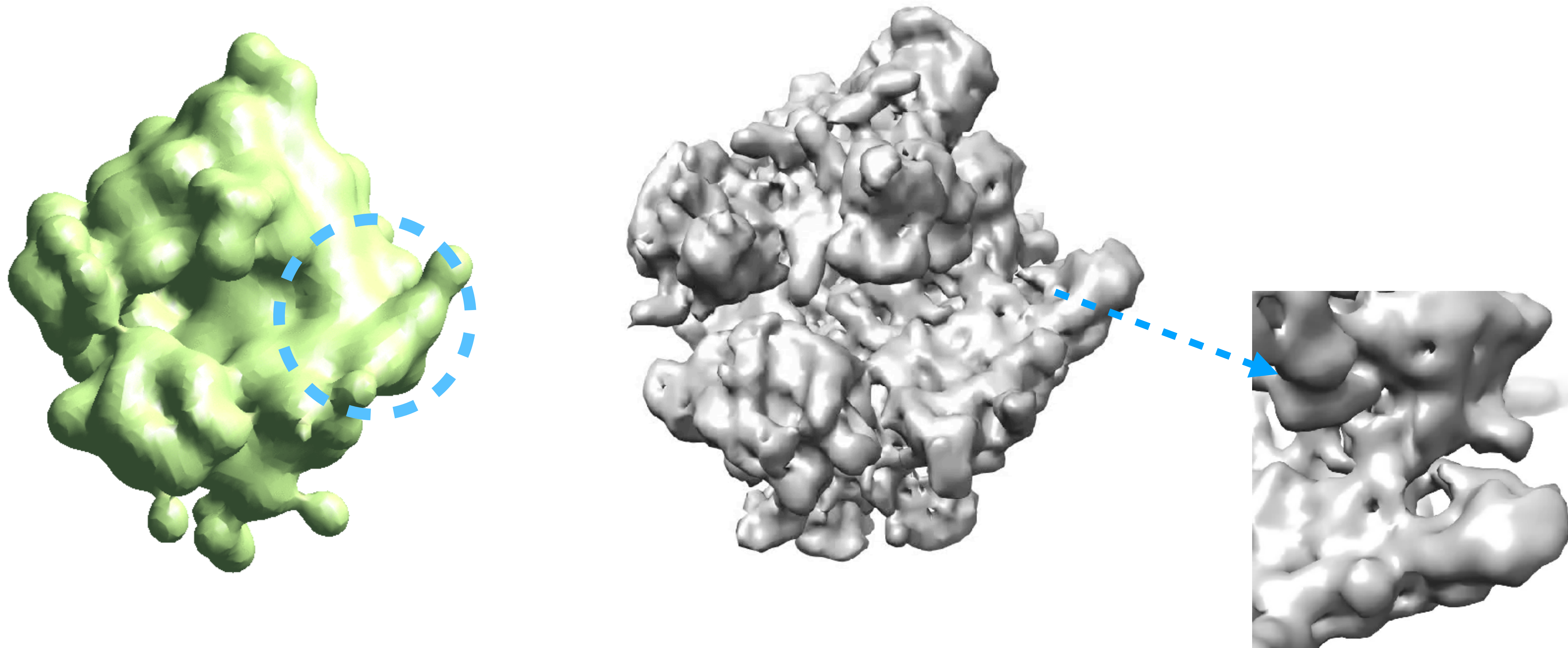


# Focus on local regions



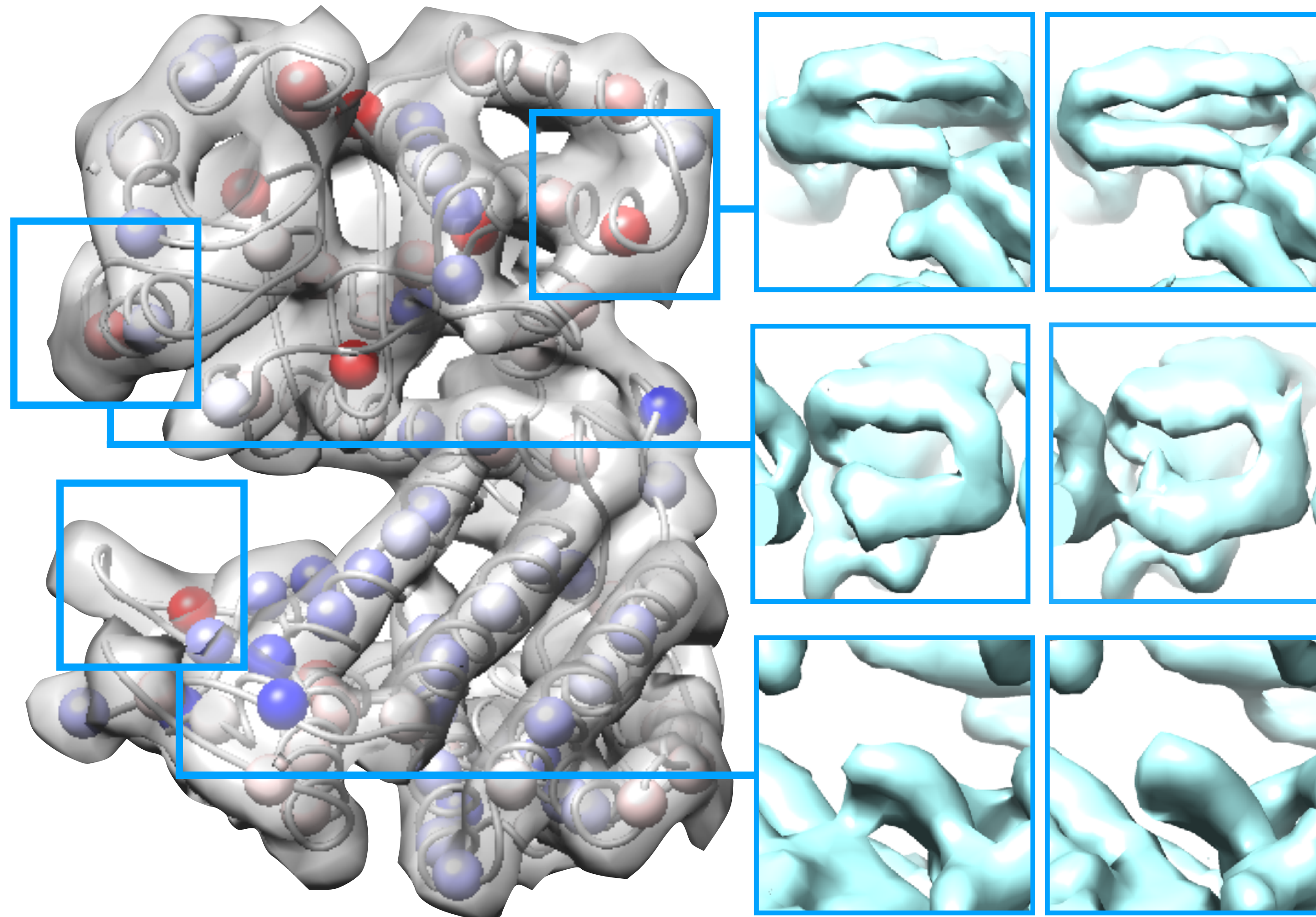


# Focus on local regions





# GroEL



- From Roh 2017, *PNAS*
- Filtered to 7Å
- 1344 Gaussians
- Motion of helices
- Symmetry breaking
- Correlation of conformation between subunits



# Advantages

VS multi-model refinement:

- Deterministic
- Handles continuous motion

VS image based manifold mapping:

- Lower requirement for dataset
- Simple, expandable framework
- Solves global and local motion

# Limitations

- Requires determined orientations from single model refinement
- Linear and short motion trajectory
- Low resolution due to limited GPU memory

# Advantages

VS multi-model refinement:

- Deterministic
- Handles continuous motion

VS image based manifold mapping:

- Lower requirement for dataset
- Simple, expandable framework
- Solves global and local motion

# Limitations and future directions

- Requires determined orientations from single model refinement
  - Iteratively optimize conformation and orientation
- Linear and short motion trajectory
  - Replace PCA with stacked autoencoder for trajectory calculation
- Low resolution due to limited GPU memory
  - Better hardware and software platforms

# Availability

- EMAN2/examples
  - *build\_ali\_lst.py*  
build list file with alignment information from existing refinement
  - *gmm\_heterog.py* or *gmm\_heterog\_tensorflow.py*  
main program (theano or tensorflow implementation)
- Tutorial coming soon...



# Acknowledgement

- Pls:
  - Steven Ludtke, *Baylor College of Medicine*
- NIH grants:
  - R01GM080139

Special thanks to Hui Ye for looking after my cat...

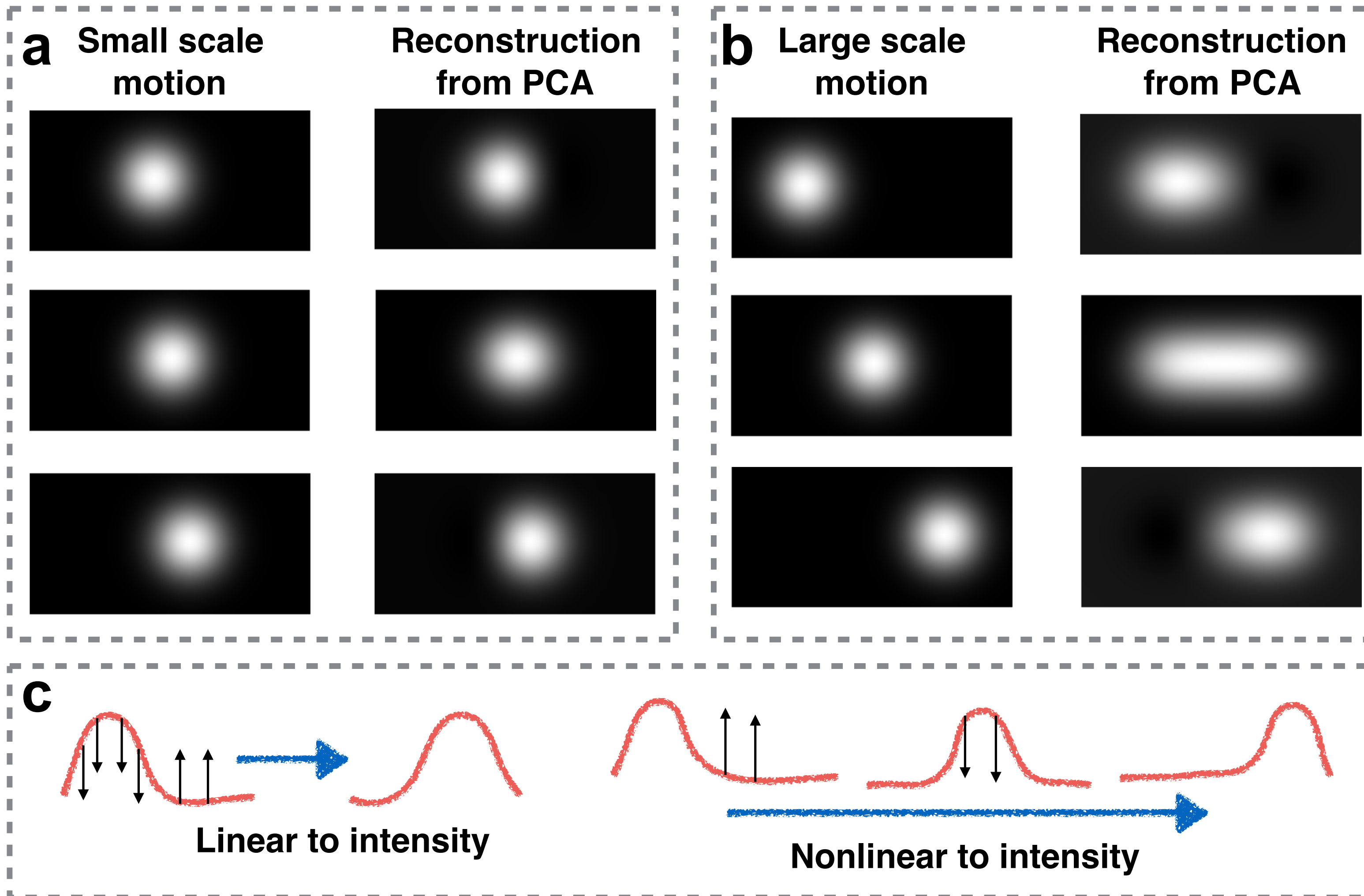


**Thank you**



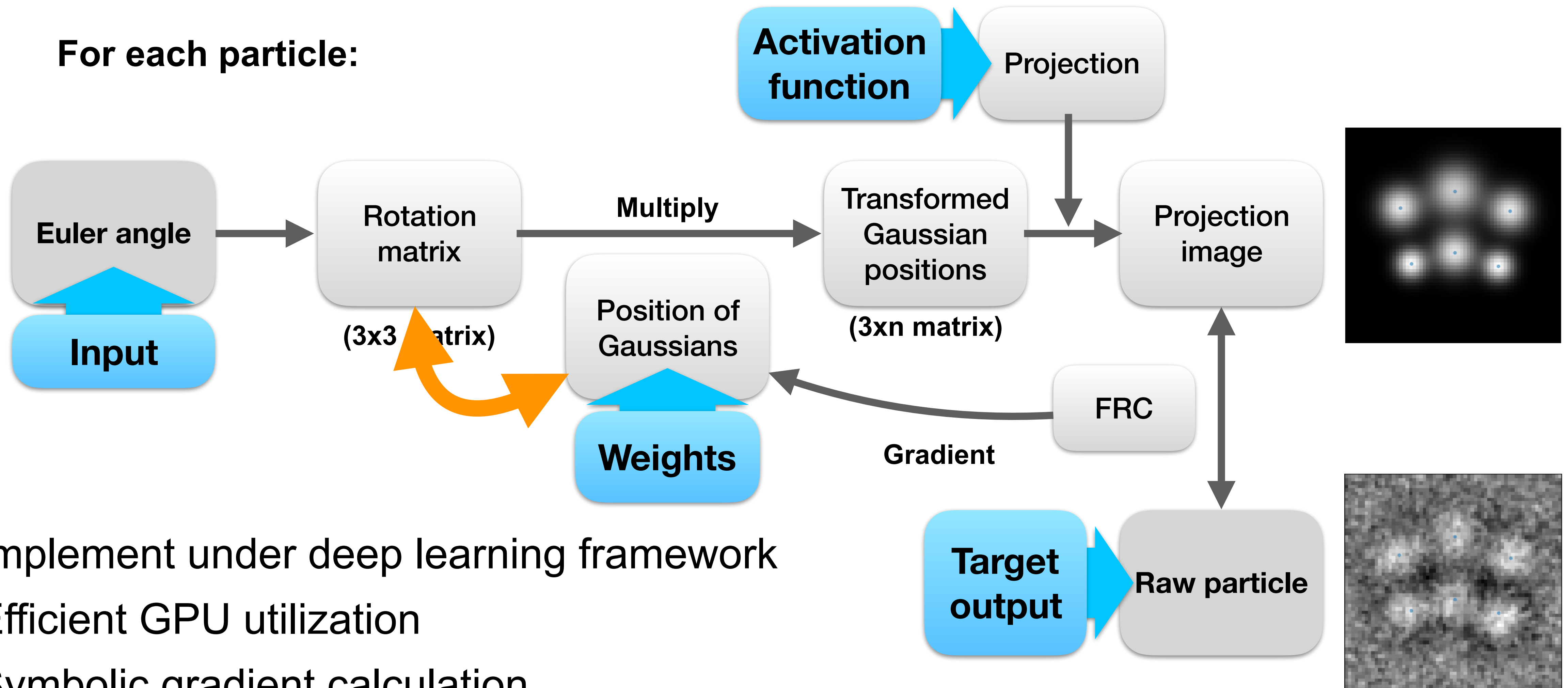


# Linear/nonlinear motion



Both are linear in Gaussian representation.

# Relationship with neural network



- Implement under deep learning framework
- Efficient GPU utilization
- Symbolic gradient calculation